

Sparse Coding



Barlow (1972)

Perception, 1972, volume 1, pages 371–394

Single units and sensation: A neuron doctrine for perceptual psychology?

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Received 6 December 1972

Abstract. The problem discussed is the relationship between the firing of single neurons in sensory pathways and subjectively experienced sensations. The conclusions are formulated as the following five dogmas:

1. To understand nervous function one needs to look at interactions at a cellular level, rather than either a more macroscopic or microscopic level, because behaviour depends upon the organized

2. The sensory system is organized to achieve as complete a representation of the sensory stimulus as possible with the minimum number of active neurons.

neurons, each of which corresponds to a pattern of external events of the order of complexity of the events symbolized by a word.

5. High impulse frequency in such neurons corresponds to high certainty that the trigger feature is present.

The development of the concepts leading up to these speculative dogmas, their experimental basis, and some of their limitations are discussed.

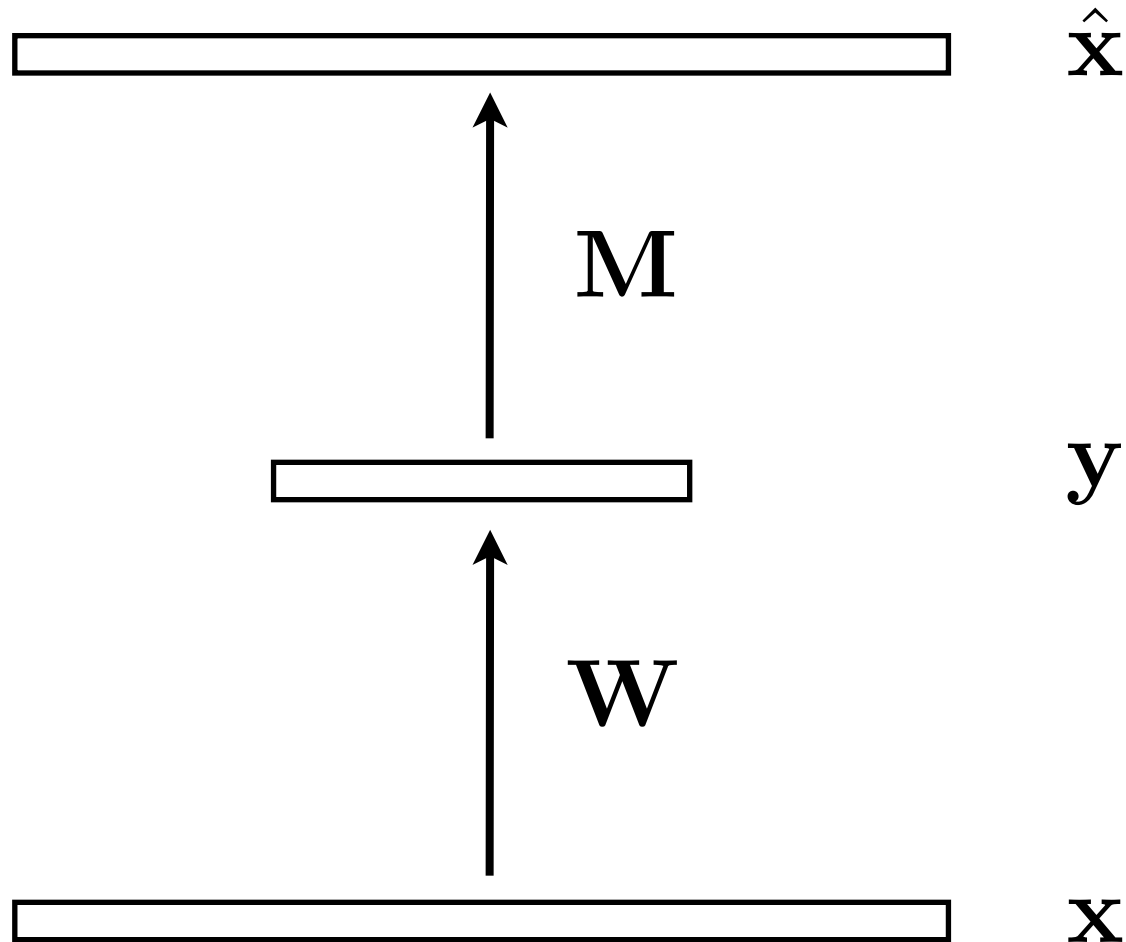


Barlow (1972)

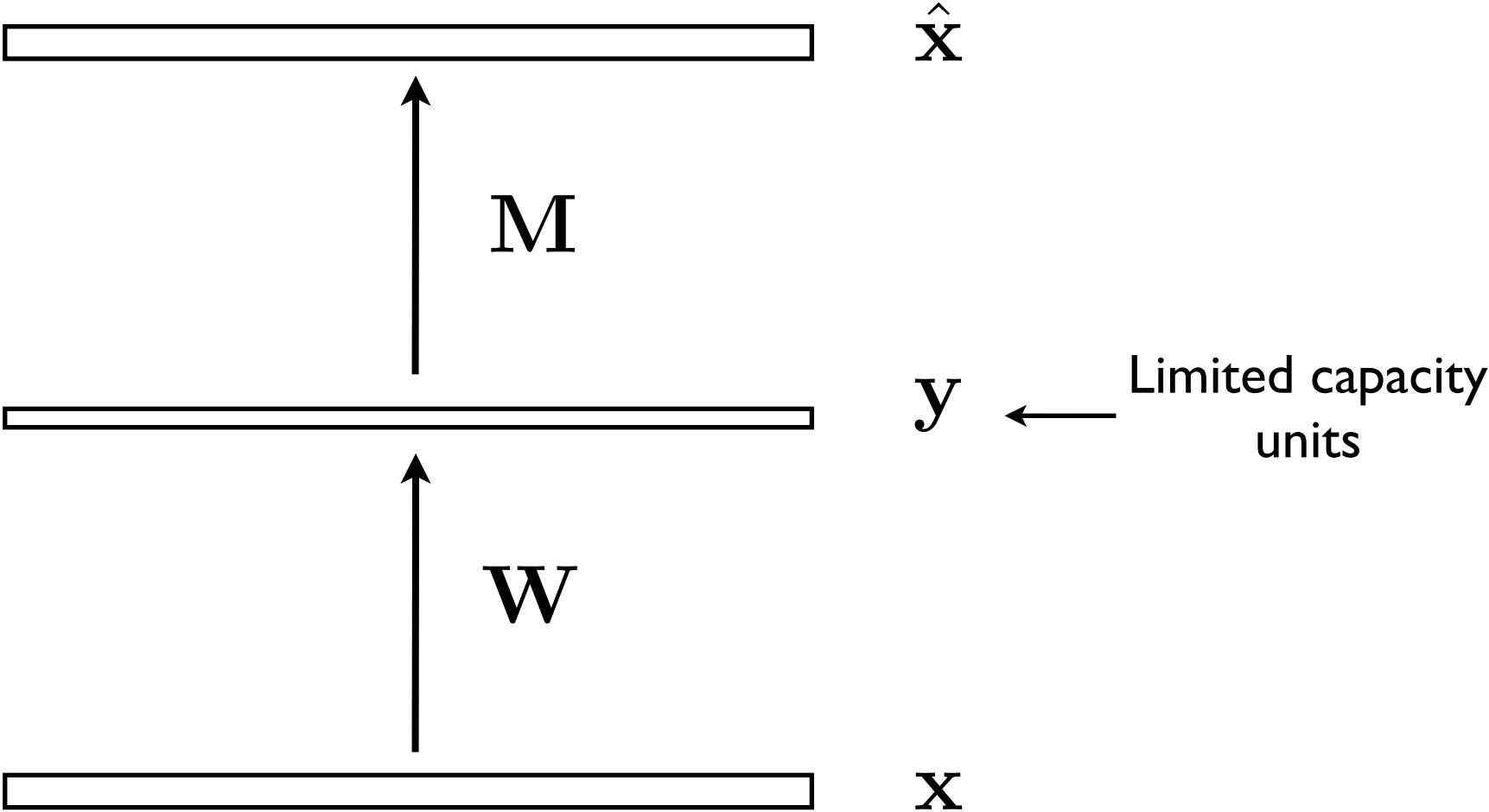
The second dogma goes beyond the evidence, but it attempts to make sense out of it. It asserts that the overall direction or aim of information processing in higher sensory centres is to represent the input as completely as possible by activity in as few neurons as possible (Barlow, 1961, 1969b). In other words, not only the proportion but also the actual number of active neurons, K , is reduced, while as much information as possible about the input is preserved.

Autoencoder networks

$$\min_{\mathbf{W}, \mathbf{M}} |\mathbf{x} - \hat{\mathbf{x}}|^2$$

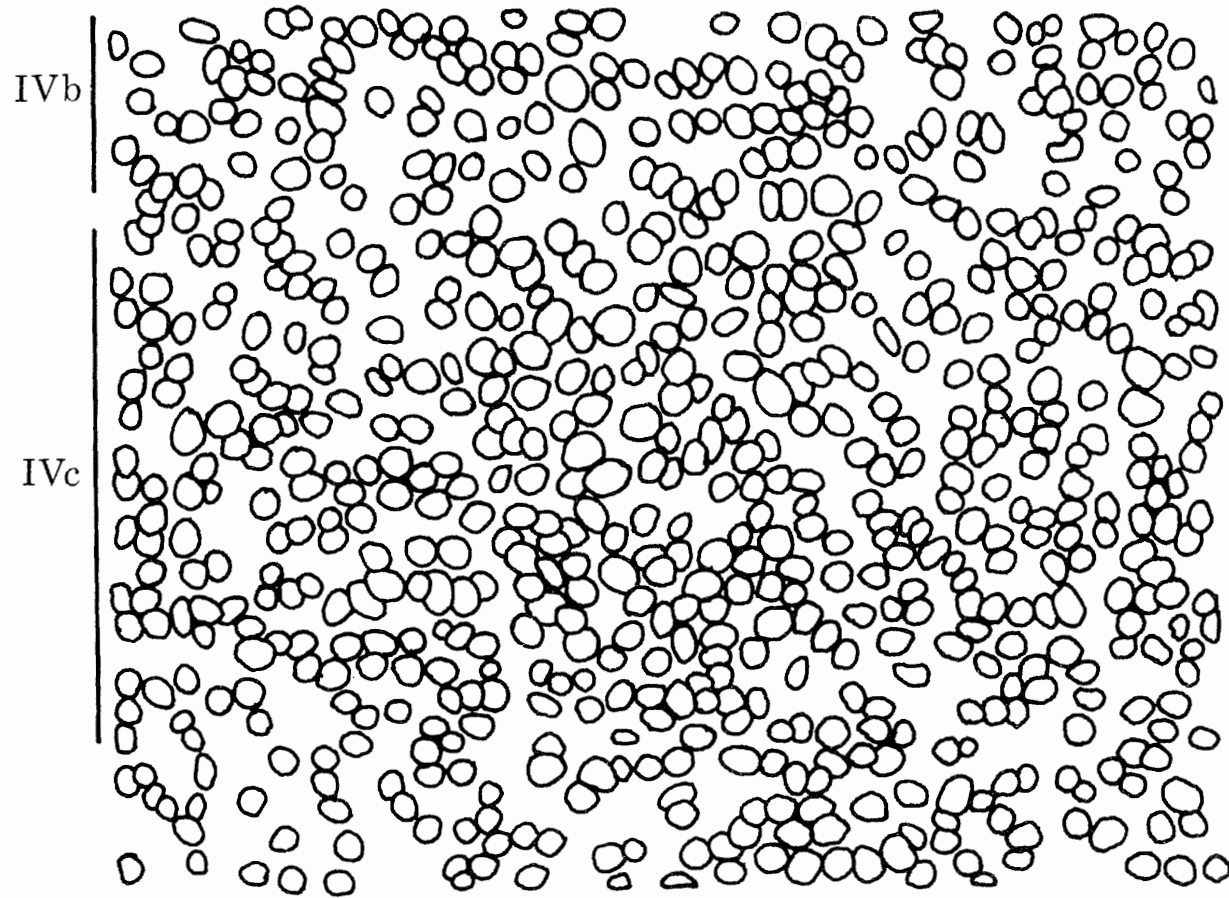


Bottleneck may also be in the form of limited capacity units.
Optimal strategy in this case is to whiten.



VI is highly overcomplete

LGN
afferents

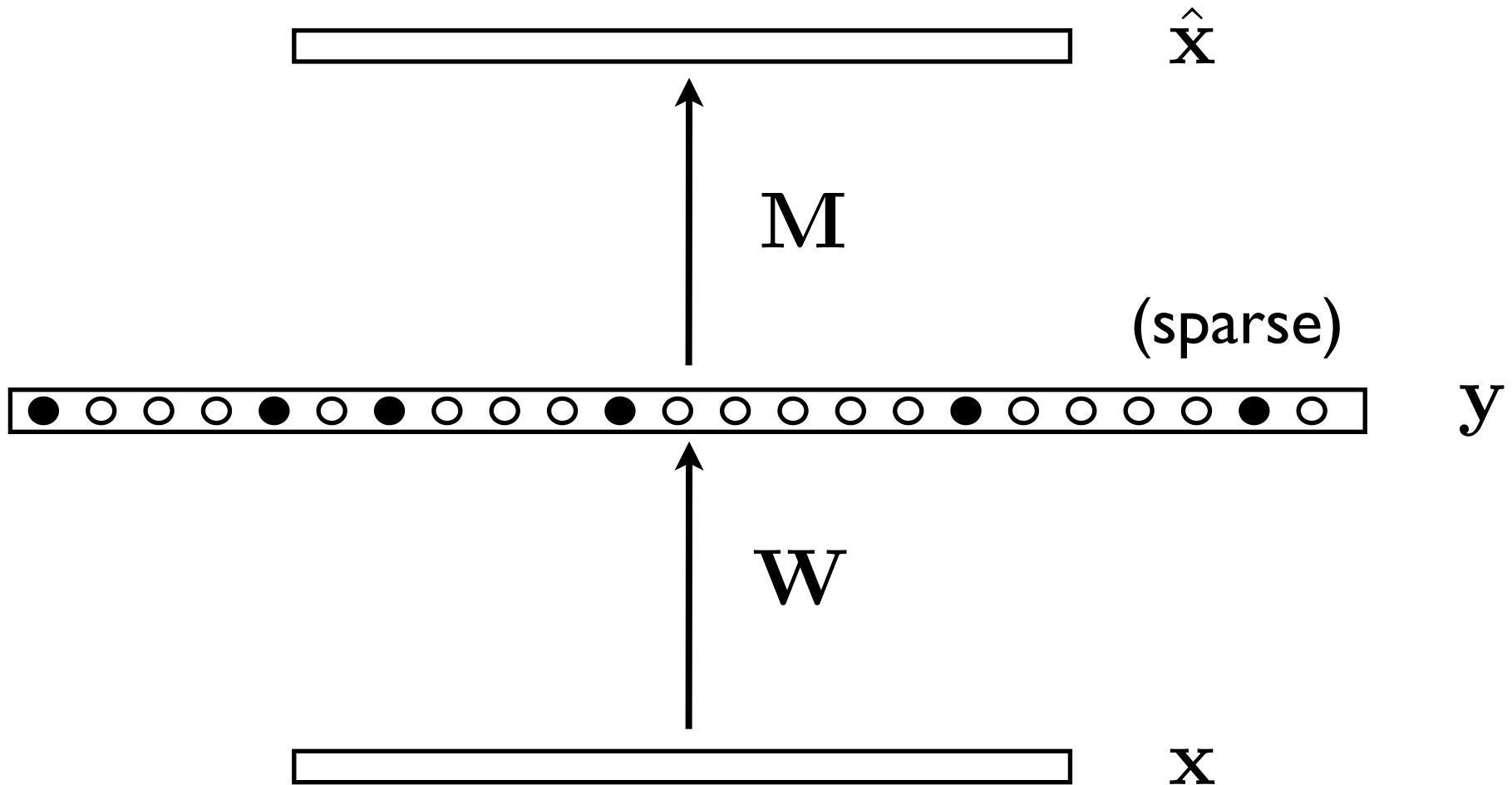


layer 4
cortex

0.1 mm

Barlow (1981)

Sparse codes impose a different type of bottleneck
by limiting the number of active units



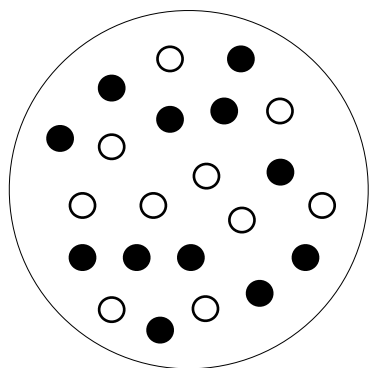
Dense codes

(e.g., ascii)

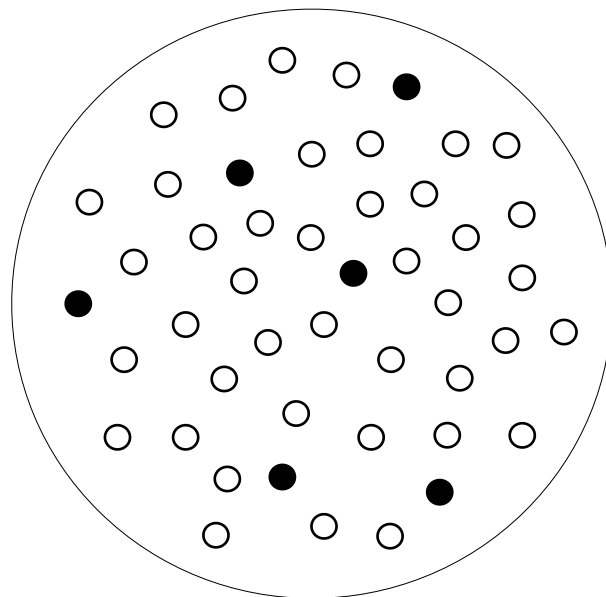
Sparse, distributed codes

Local codes

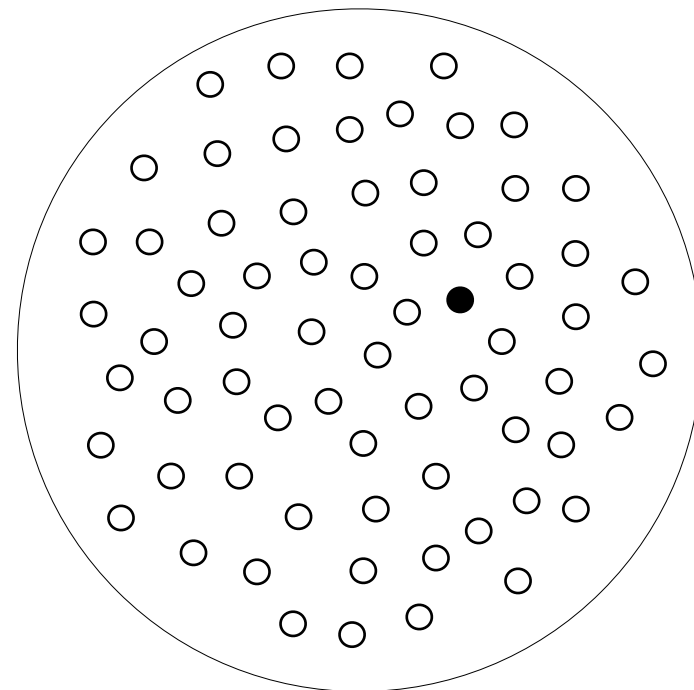
(e.g., grandmother cells)



...



...



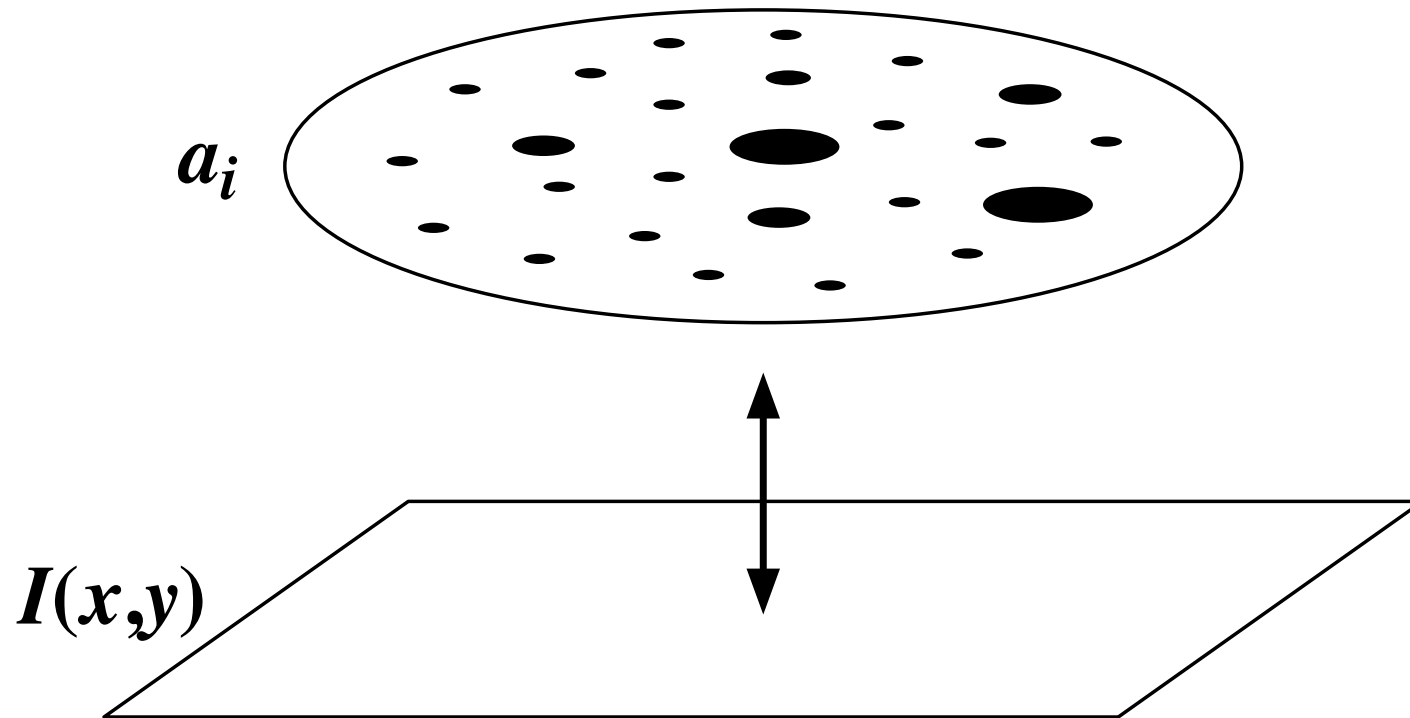
$$2^N$$

$$\binom{N}{K}$$

$$N$$

From: Foldiak & Young (1995)

How to form a sparse code of images?

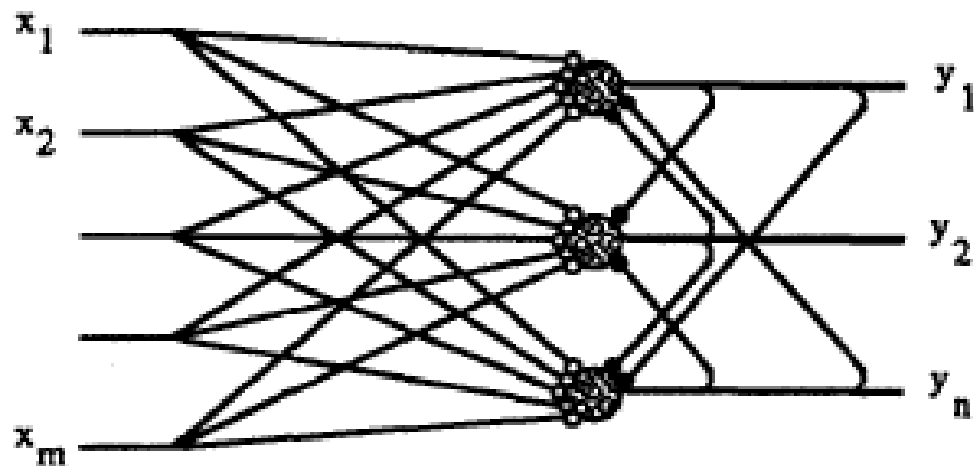


Forming sparse representations by local anti-Hebbian learning

P. Földiák

Physiological Laboratory, University of Cambridge, Downing Street, Cambridge CB2 3EG, United Kingdom

$$\frac{dy_i^*}{dt} = f \left(\sum_{j=1}^m q_{ij} x_j + \sum_{j=1}^n w_{ij} y_j^* - t_i \right) - y_i^*$$



anti-Hebbian rule—

$$\Delta w_{ij} = -\alpha(y_i y_j - p^2)$$

(if $i = j$ or $w_{ij} > 0$ then $w_{ij} := 0$)

Hebbian rule—

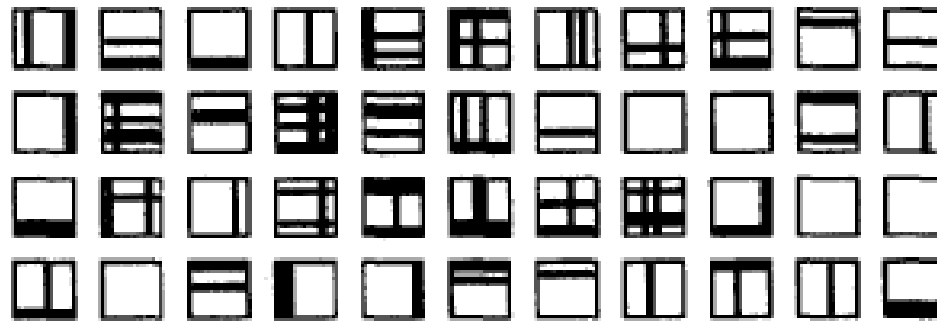
$$\Delta q_{ij} = \beta y_i (x_j - q_{ij})$$

threshold modification—

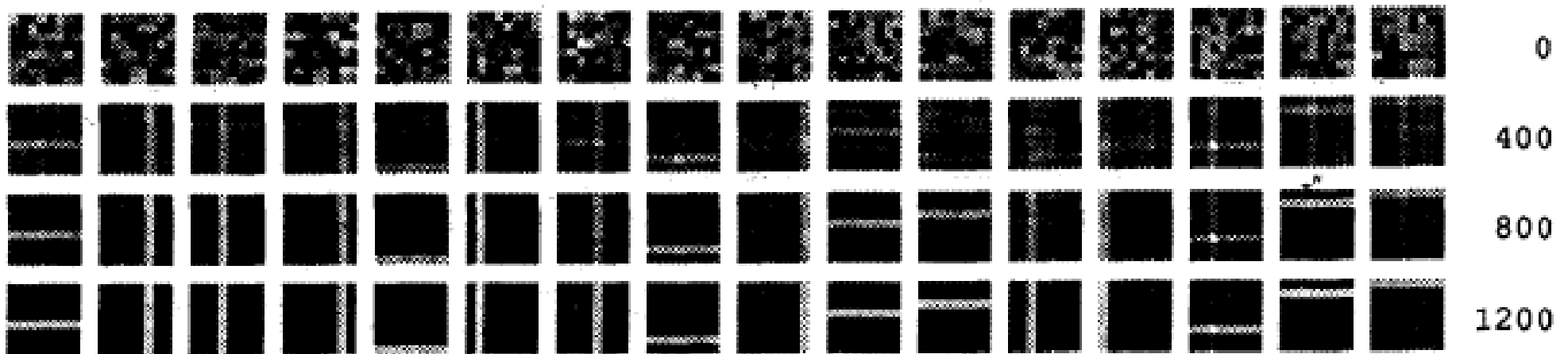
$$\Delta t_i = \gamma (y_i - p) .$$

Learning lines

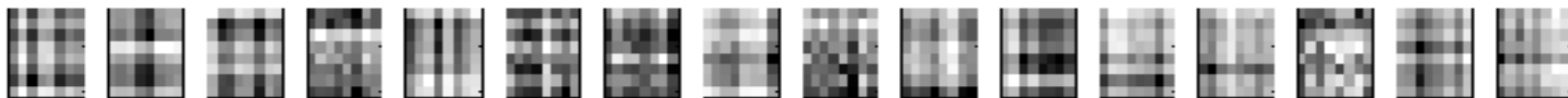
Input patterns:



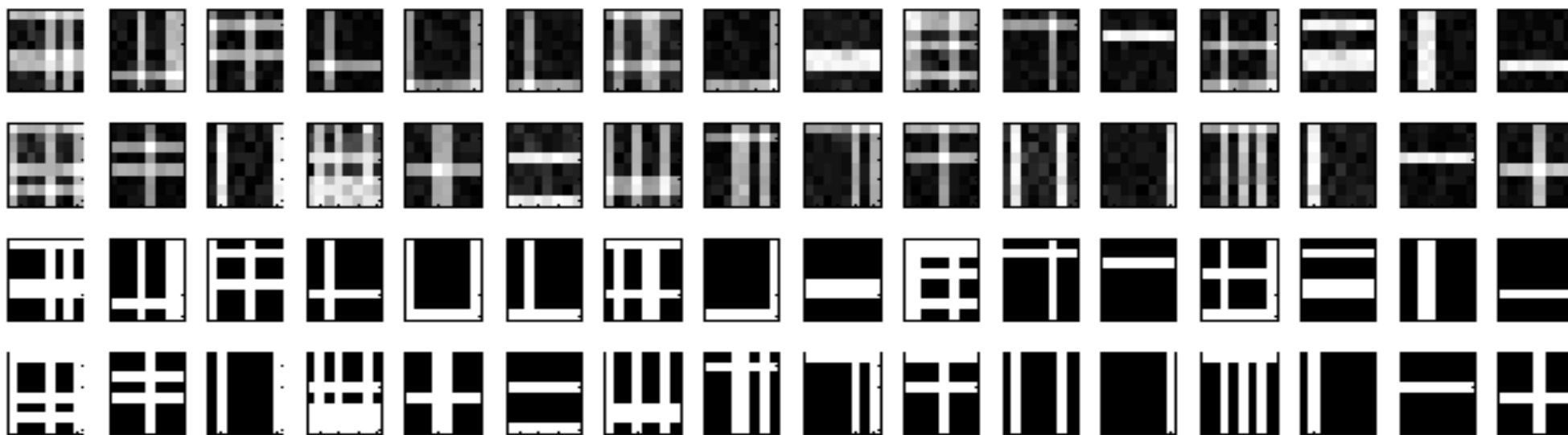
Learned weights:



PCA solution



Reconstructions



Problems

- How to deal with graded input signals?
(i.e., real images)
- No objective function

Sparse coding model for graded signals (Olshausen & Field, 1996)

$$I(x, y) = \sum_i a_i \phi_i(x, y) + \epsilon(x, y)$$

The diagram illustrates the sparse coding model equation $I(x, y) = \sum_i a_i \phi_i(x, y) + \epsilon(x, y)$. The components are color-coded and labeled with arrows:

- $I(x, y)$ (blue box) is labeled "image" (blue text) with a blue arrow pointing up.
- a_i (red box) is labeled "neural activities (sparse)" (red text) with a red arrow pointing up.
- $\phi_i(x, y)$ (green box) is labeled "features" (green text) with a green arrow pointing up.
- $\epsilon(x, y)$ (cyan box) is labeled "other stuff" (cyan text) with a cyan arrow pointing up.

Energy function

$$E = \frac{1}{2} \|\mathbf{I} - \Phi \mathbf{a}\|^2 + \lambda \sum_i C(a_i)$$

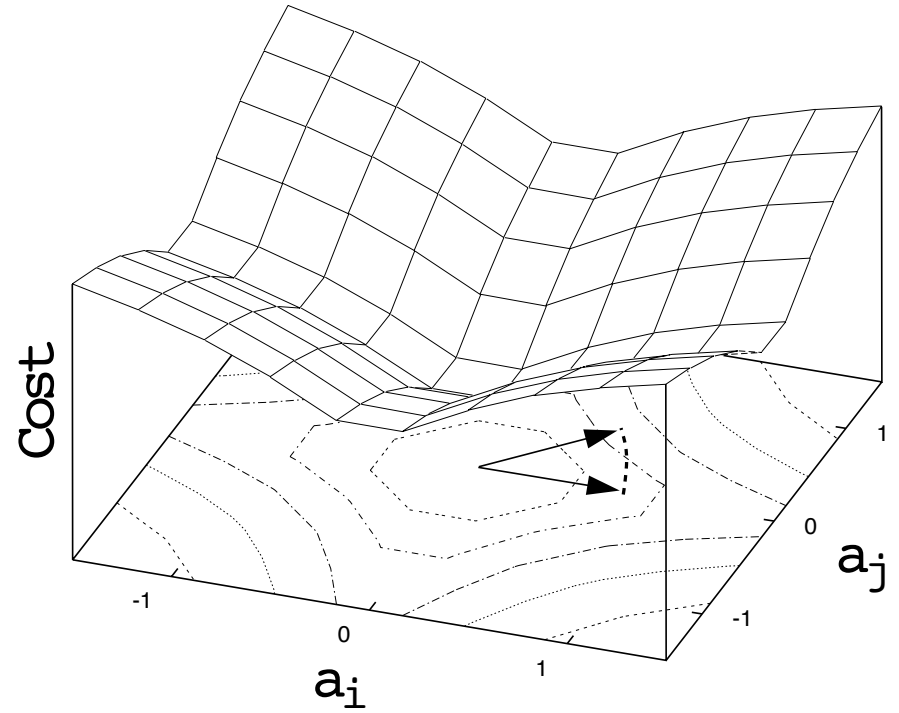
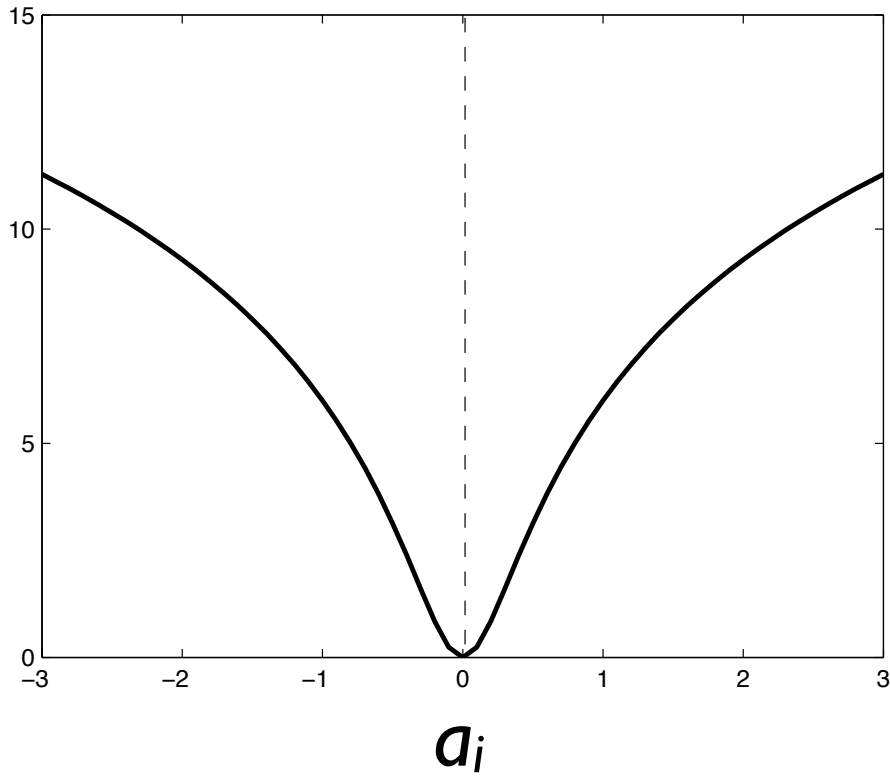
↑
preserve information

↑
be sparse

Cost function

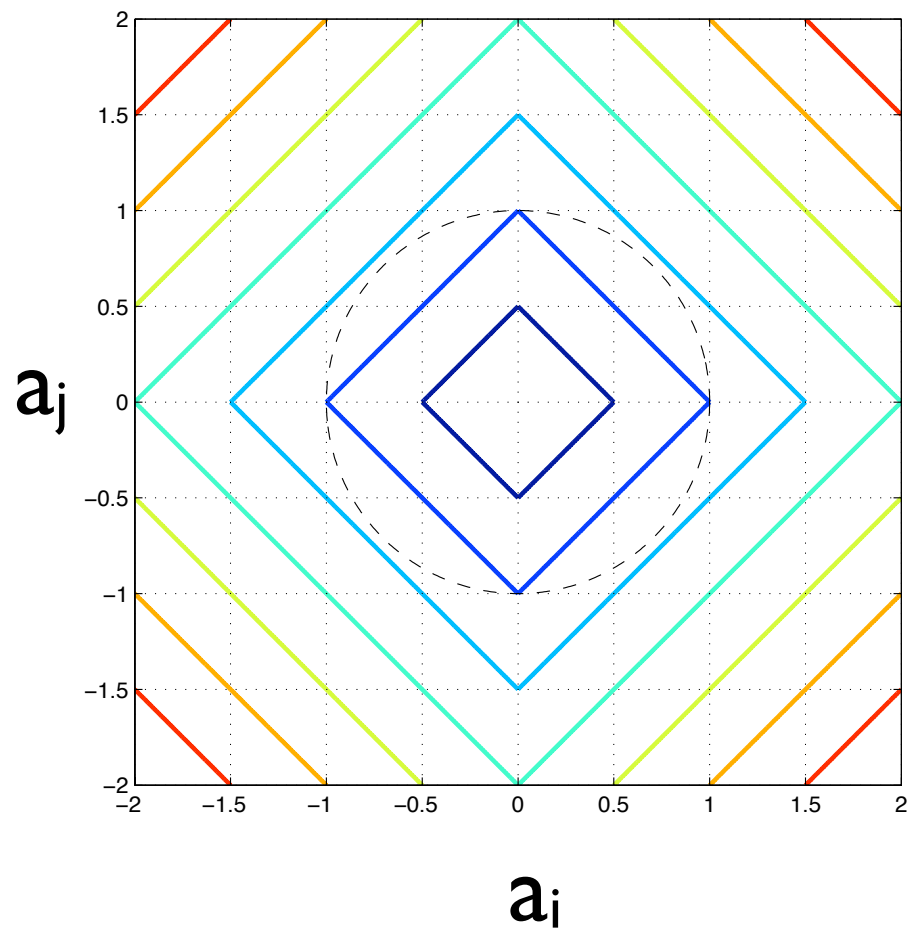
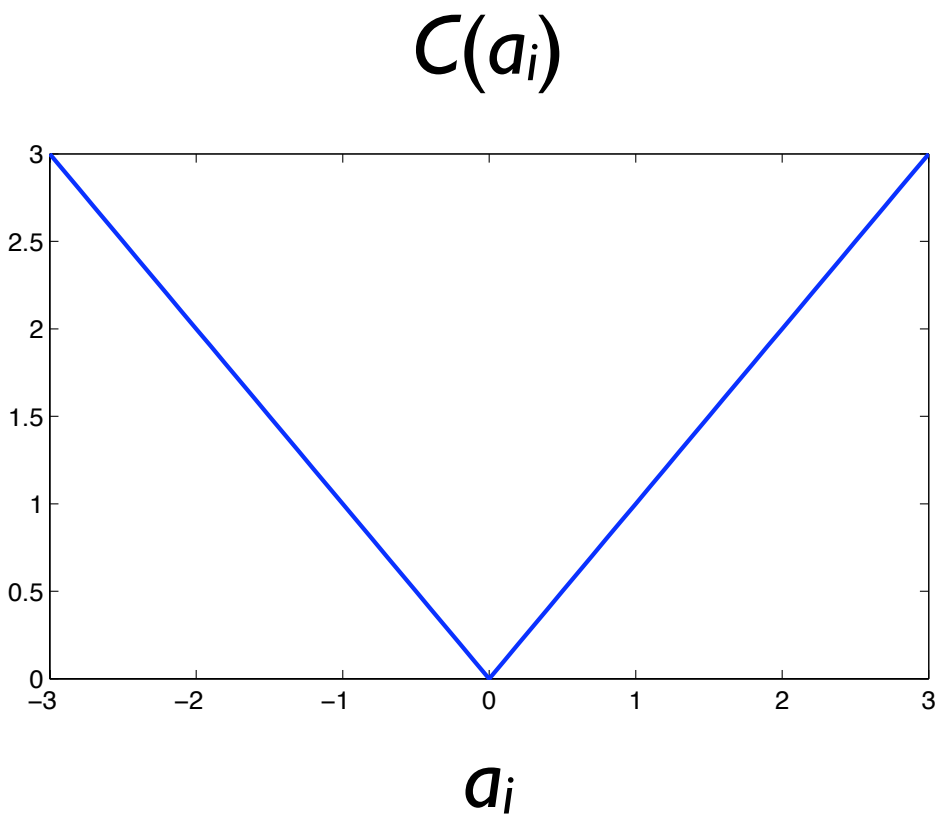
$$C(a_i) = \log(1 + a_i^2)$$

$C(a_i)$



Cost function

$$C(a_i) = |a_i|$$



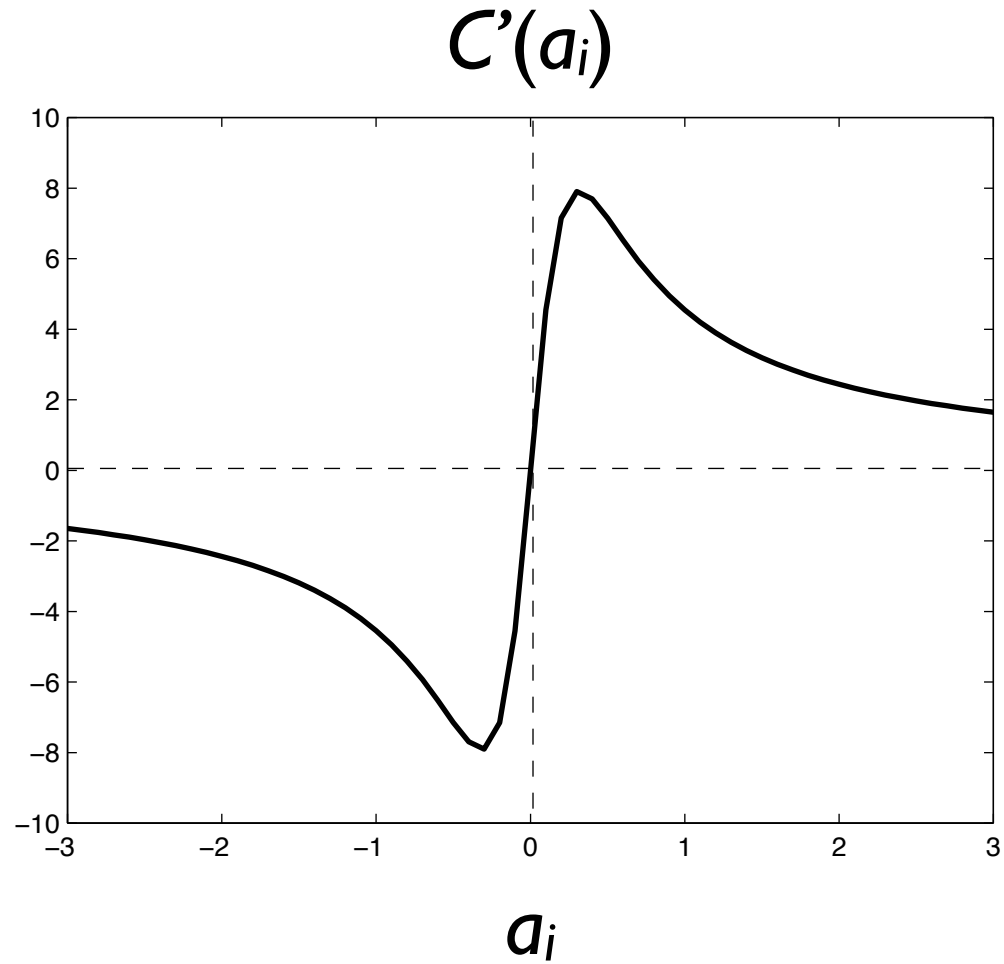
Compute coefficients via gradient descent

$$\begin{aligned}\tau \dot{a}_i &= -\frac{dE}{da_i} \\ &= b_i - \sum_{j \neq i} G_{ij} a_j - f_\lambda(a_i)\end{aligned}$$

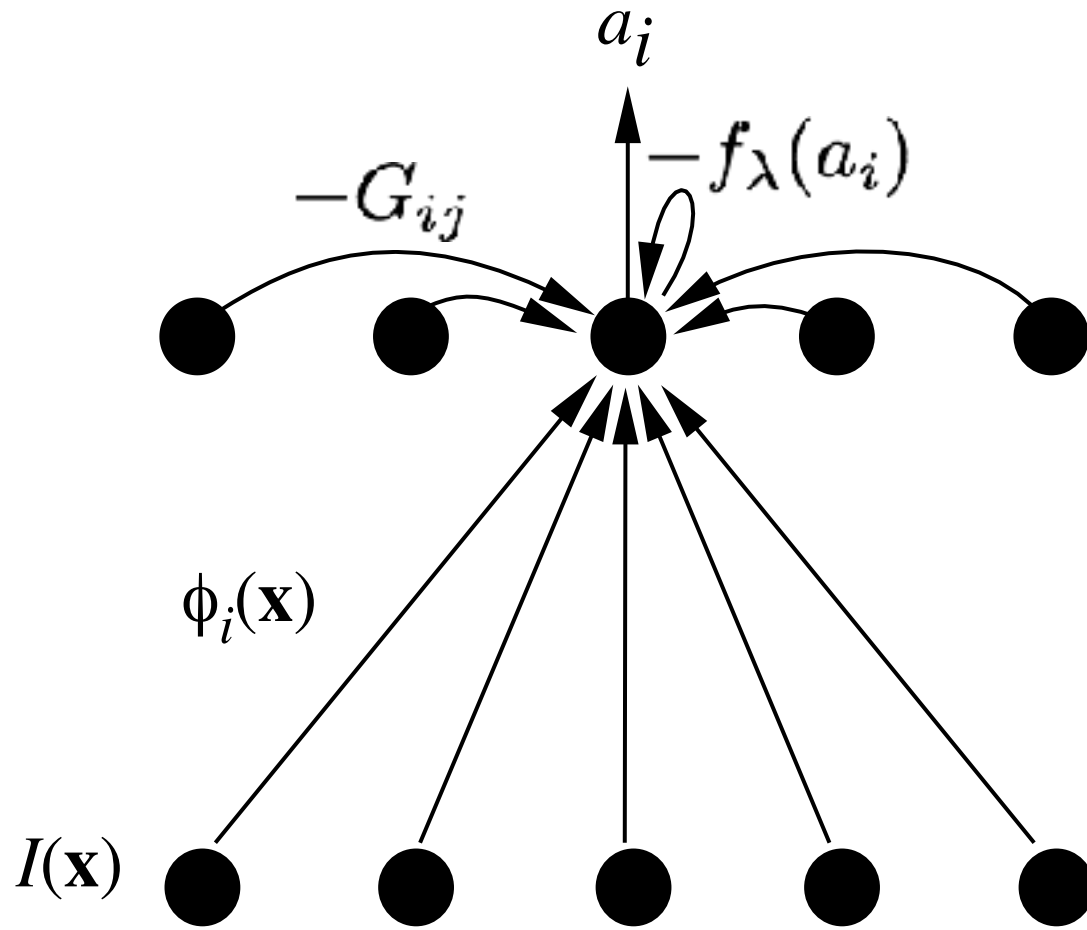
Where

$$b_i = \sum_{x,y} \phi_i(x,y) I(x,y)$$
$$G_{ij} = \sum_{x,y} \phi_i(x,y) \phi_j(x,y)$$
$$f_\lambda(a_i) = a_i + \lambda C'(a_i)$$

Sparse cost derivative (C')



Network implementation



Alternative formulation (the Hopfield trick)

Let

$$u_i = f_\lambda(a_i), \quad \text{or} \quad a_i = f_\lambda^{-1}(u_i) \equiv g(u_i)$$

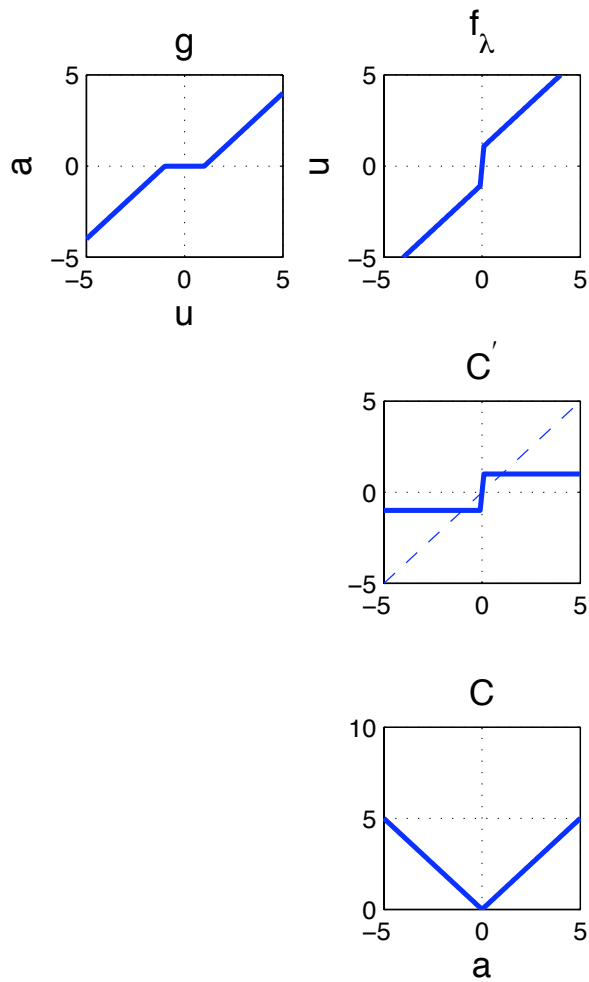
$$\begin{aligned} \tau \dot{u}_i &= -\frac{dE}{da_i} \\ &= b_i - \sum_{j \neq i} G_{ij} a_j - u_i \end{aligned}$$

Thus

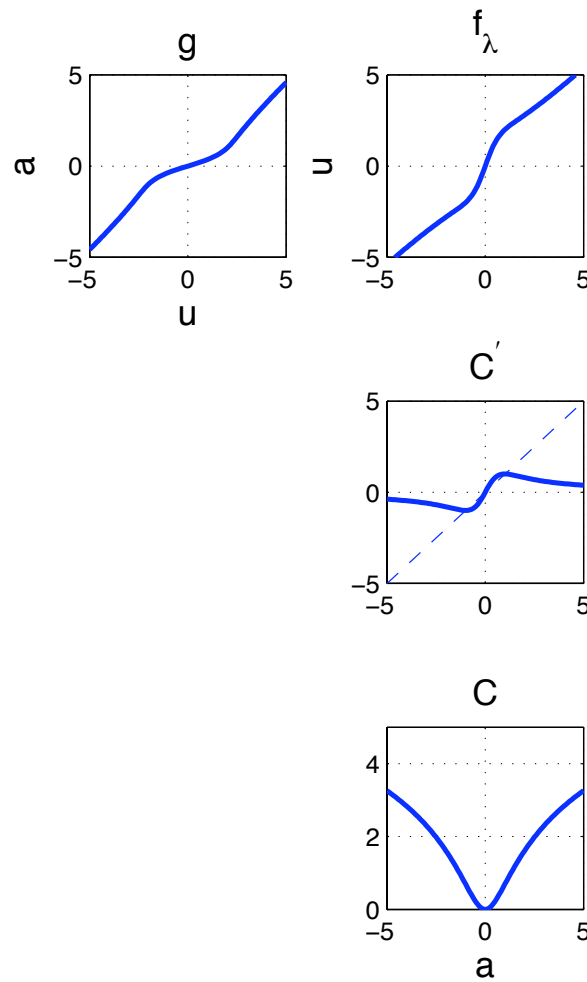
$$\begin{aligned} \tau \dot{u}_i + u_i &= b_i - \sum_{j \neq i} G_{ij} a_j \\ a_i &= g(u_i) \end{aligned}$$

Relation between the thresholding function g and cost function C

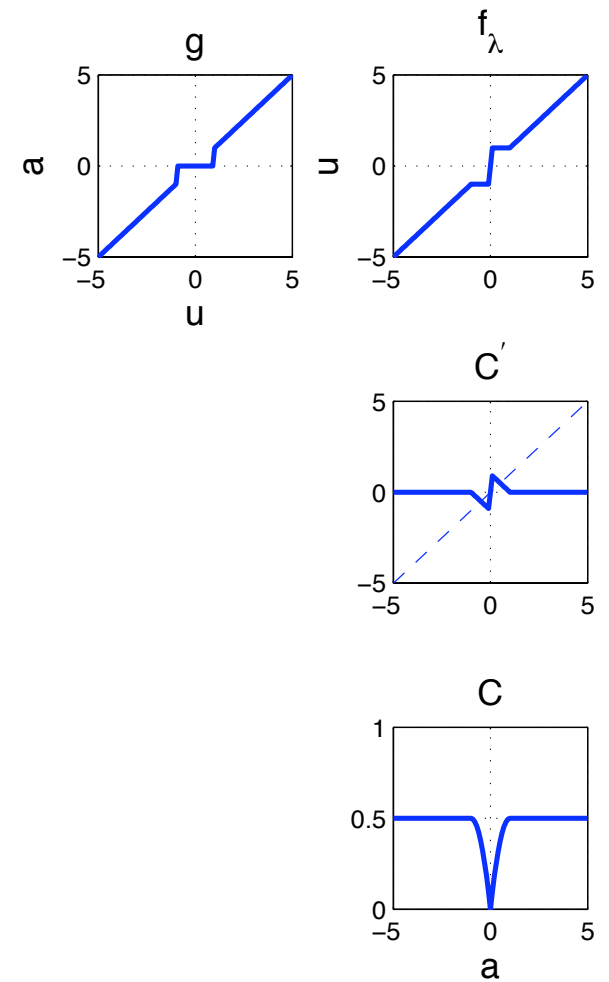
L1



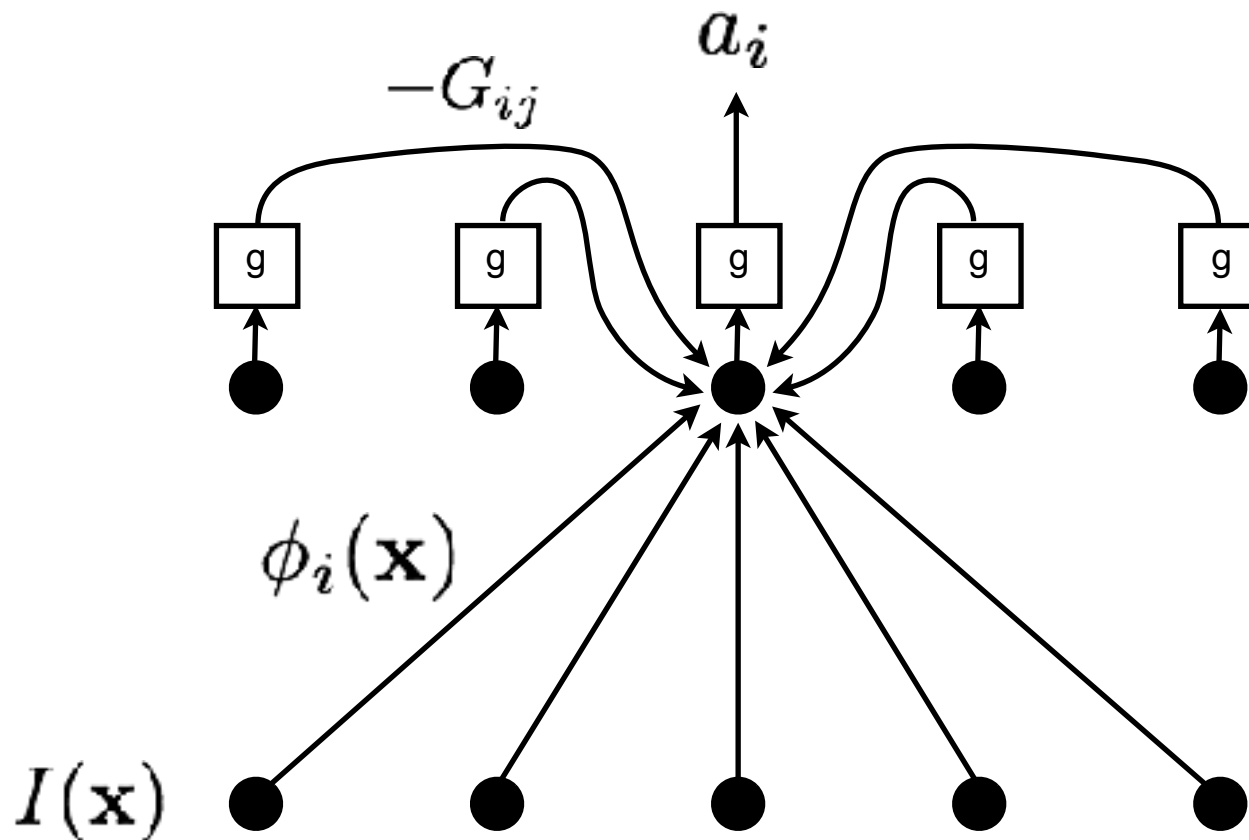
$\log(1 + a^2)$



L0-like



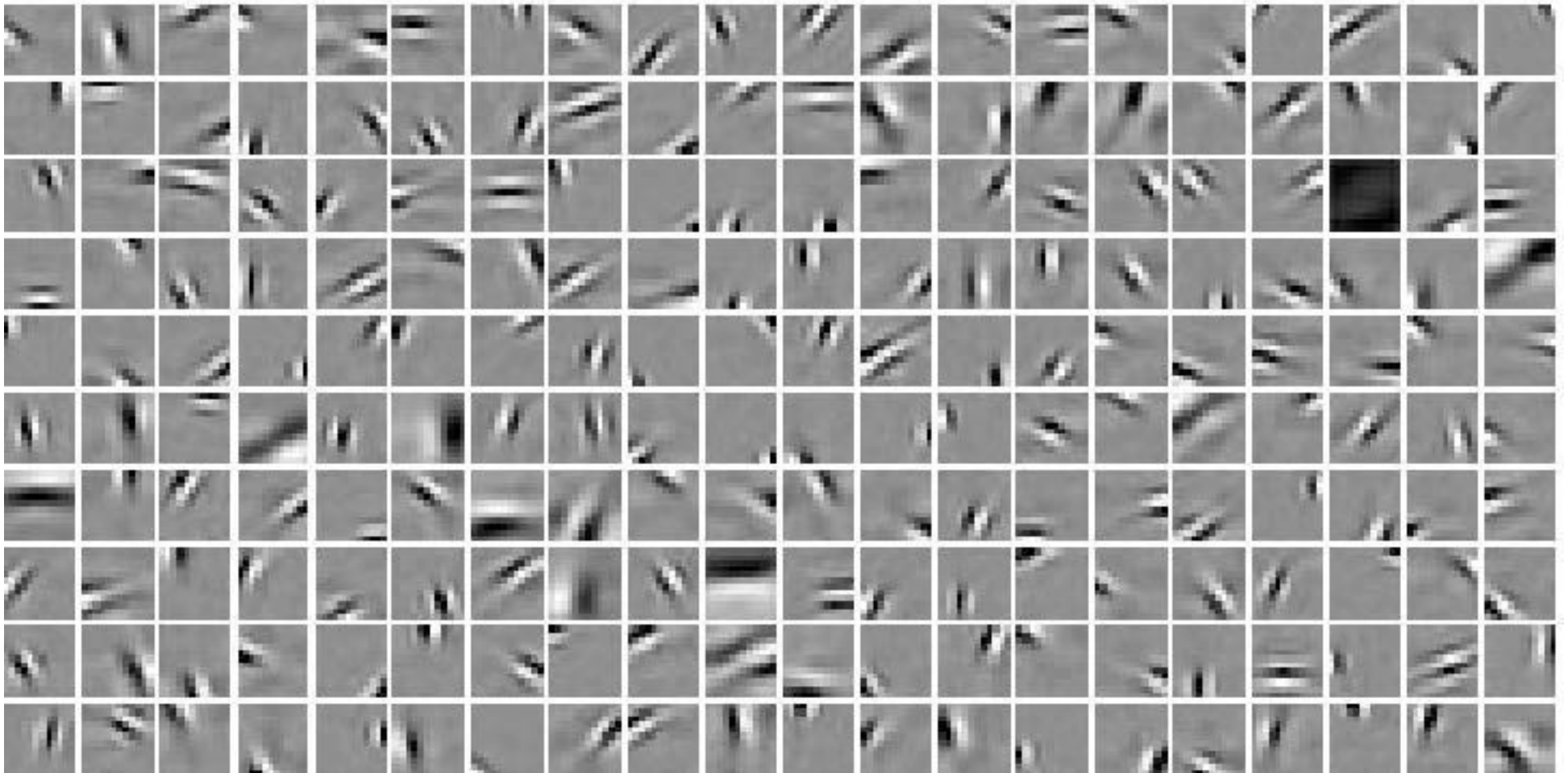
Coefficients may be computed simply via
thresholding and lateral inhibition
(Rozell, Johnson, Baraniuk & Olshausen, 2008)



Learning rule

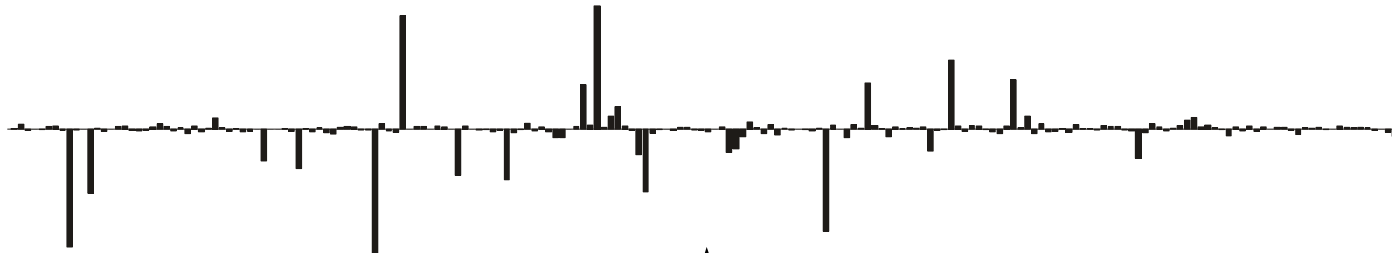
$$\begin{aligned}\Delta\phi_i &= -\eta \frac{\partial E}{\partial \phi_i} \\ &= [\mathbf{I} - \Phi \hat{\mathbf{a}}] \hat{a}_i\end{aligned}$$

Features Φ_i learned from natural images (200, 12x12 pixels)



Sparsification

Outputs of sparse coding network (a_i)



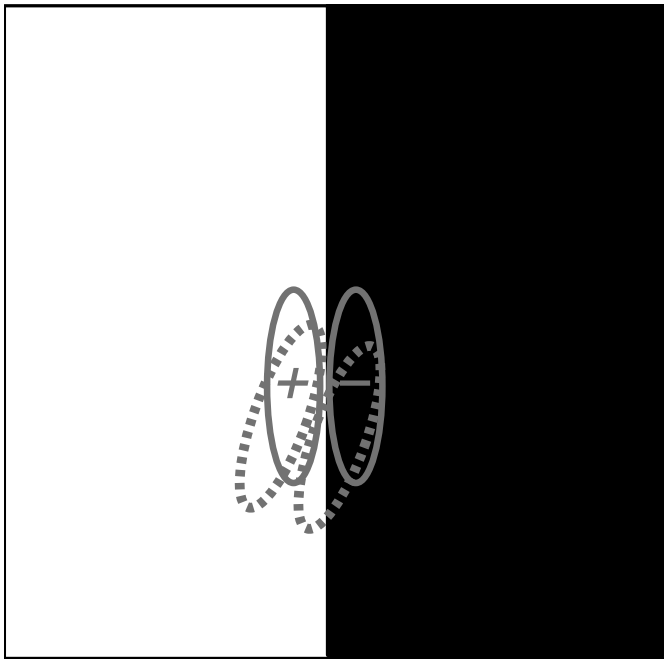
Pixel values



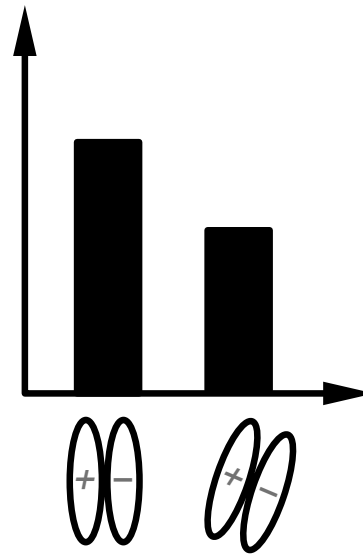
Image $I(x,y)$



'Explaining away'



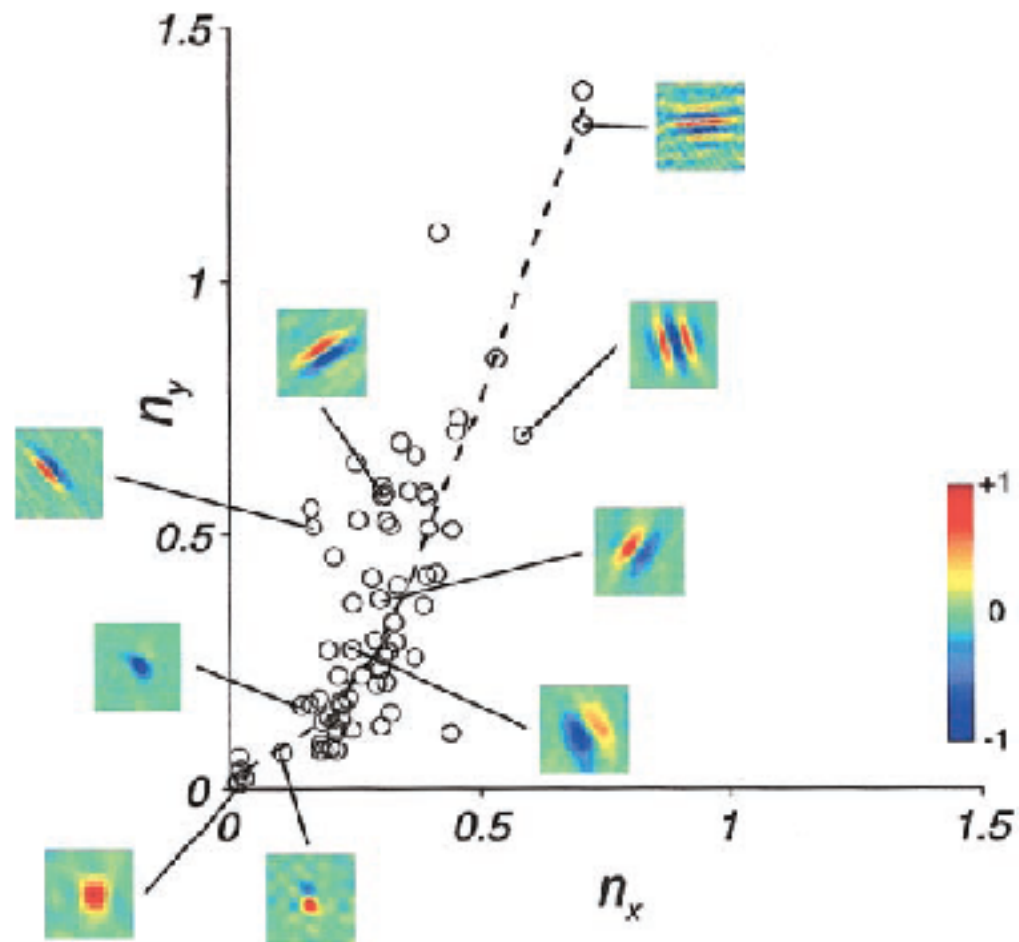
**Feedforward
response (b_i)**



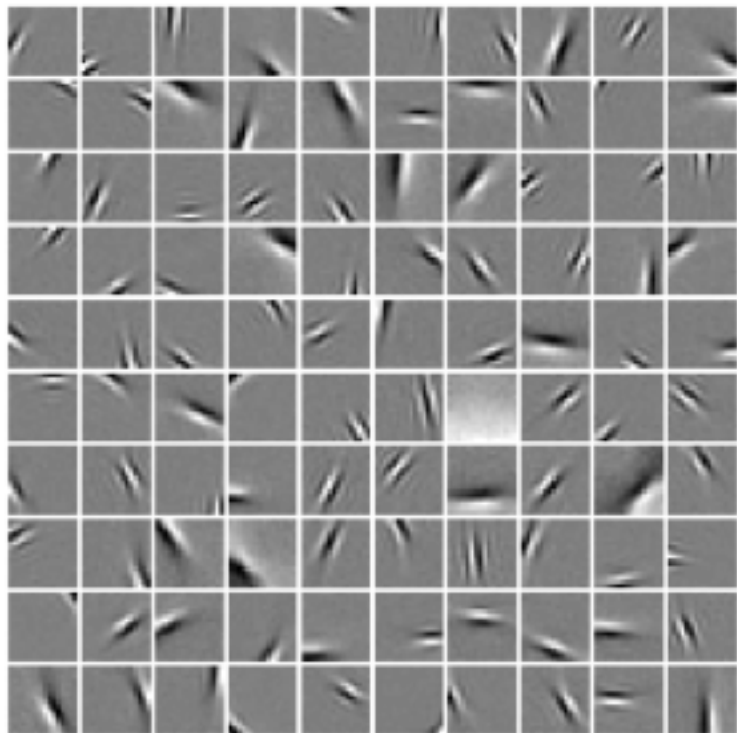
**Sparsified
response (a_i)**



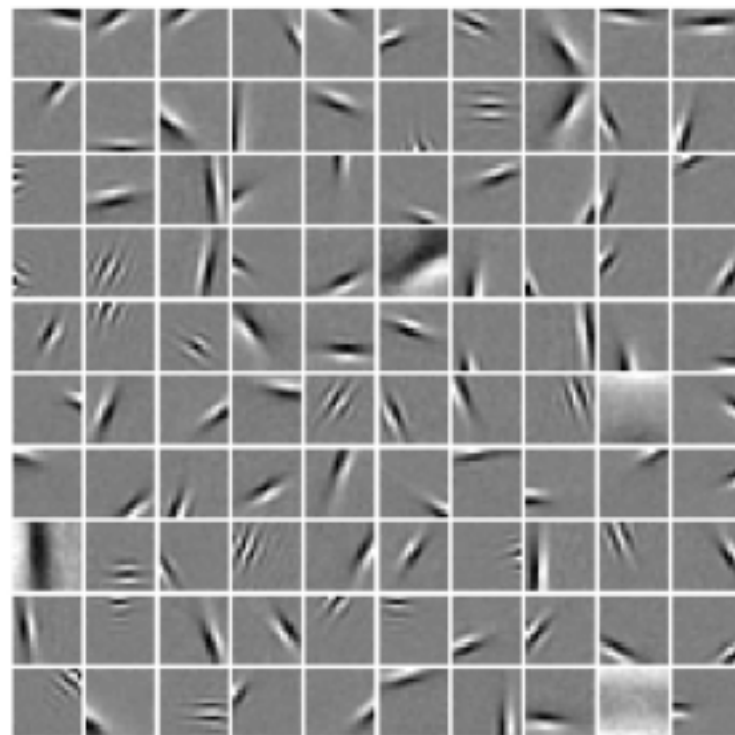
Diversity of simple-cell receptive fields in macaque V1 (Ringach 2002)



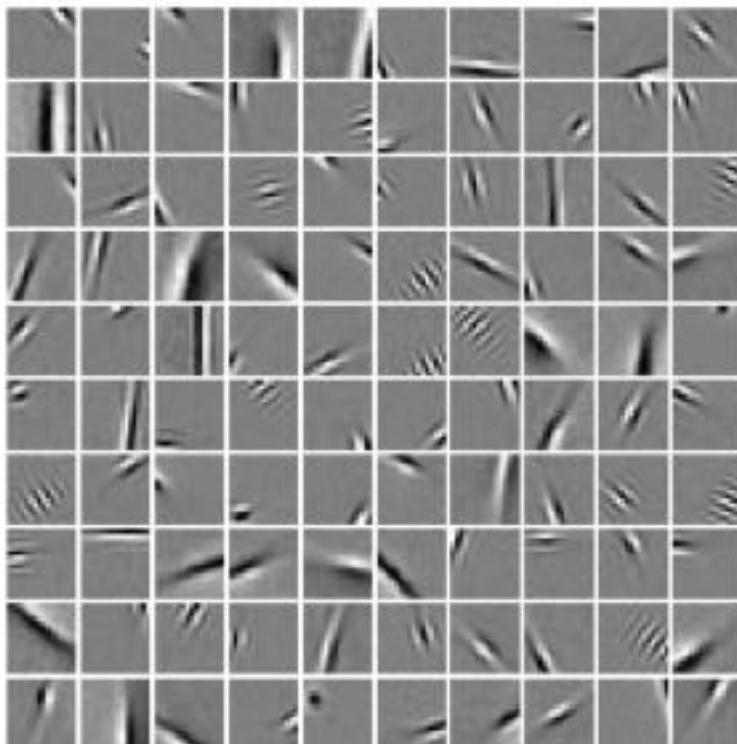
1.25x



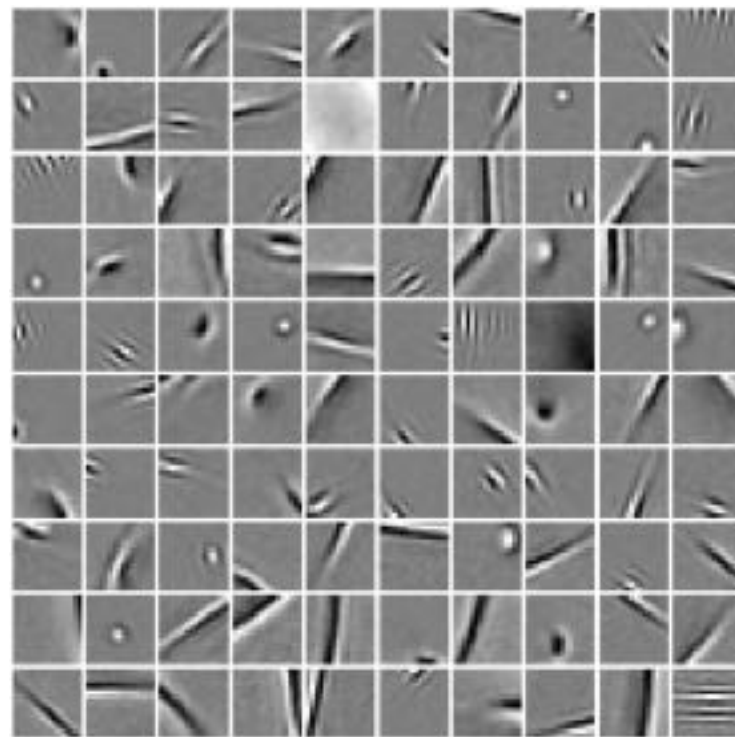
2.5x



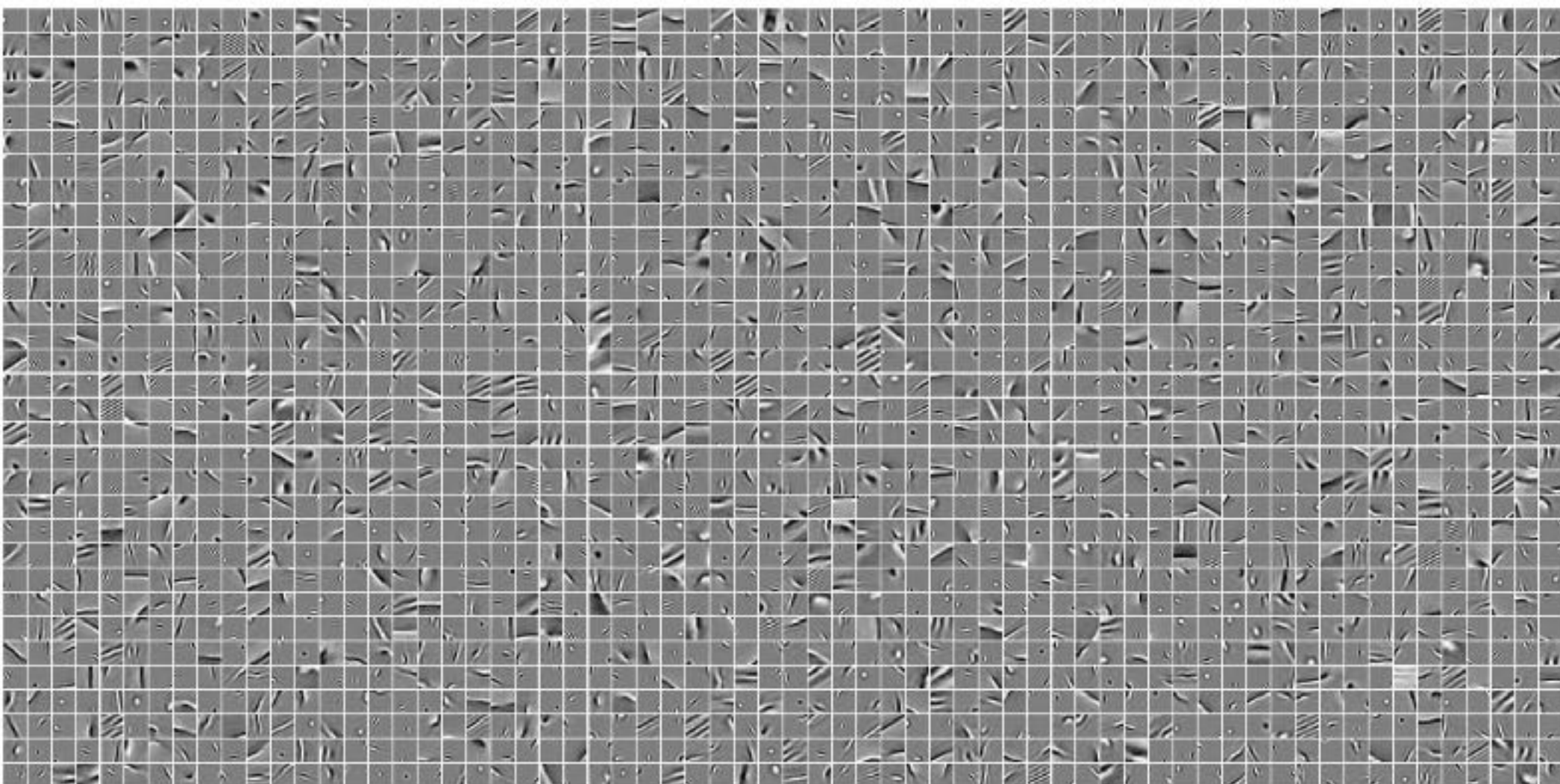
5x

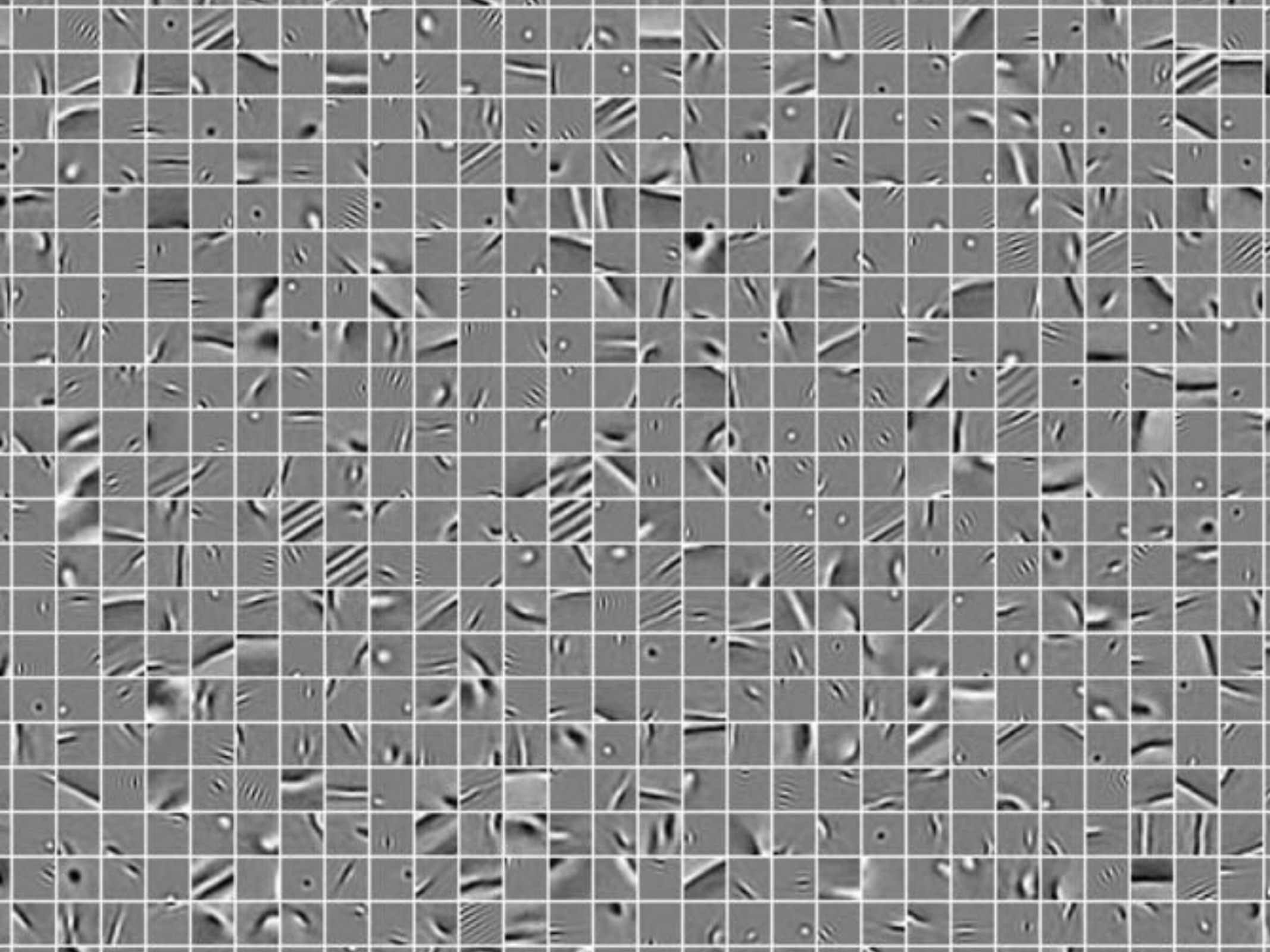


10x



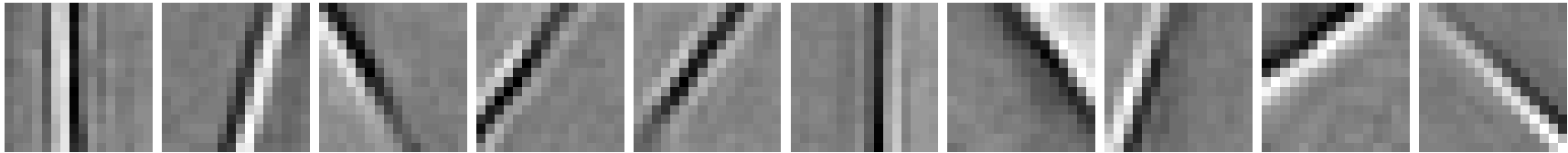
Full 10x dictionary



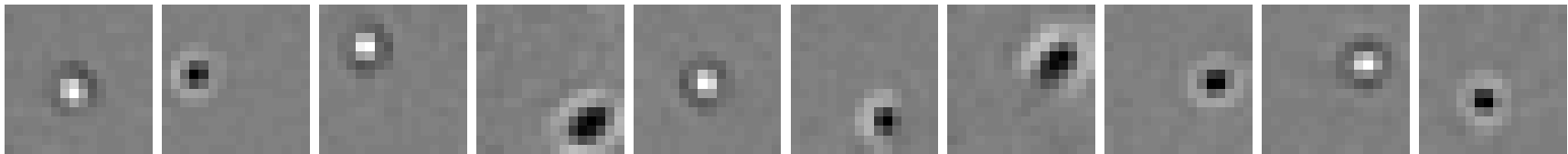


Examples from 10x dictionary (Olshausen, 2013)

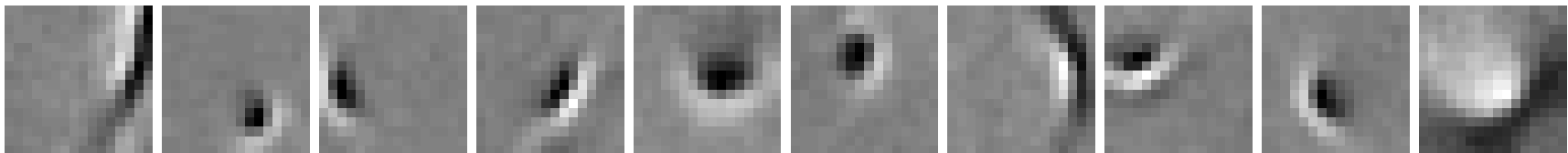
ridgelet



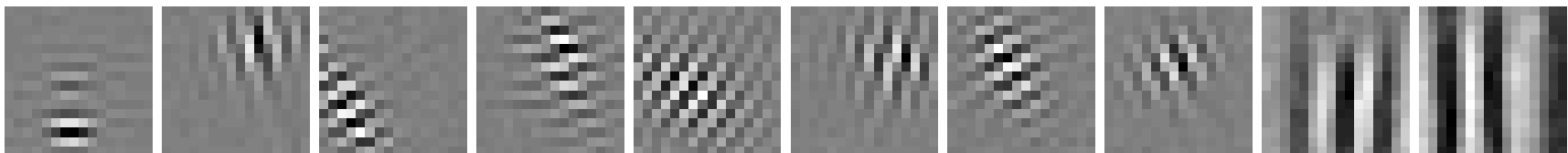
circular



curvature

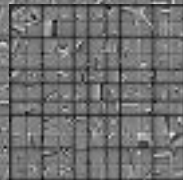


grating

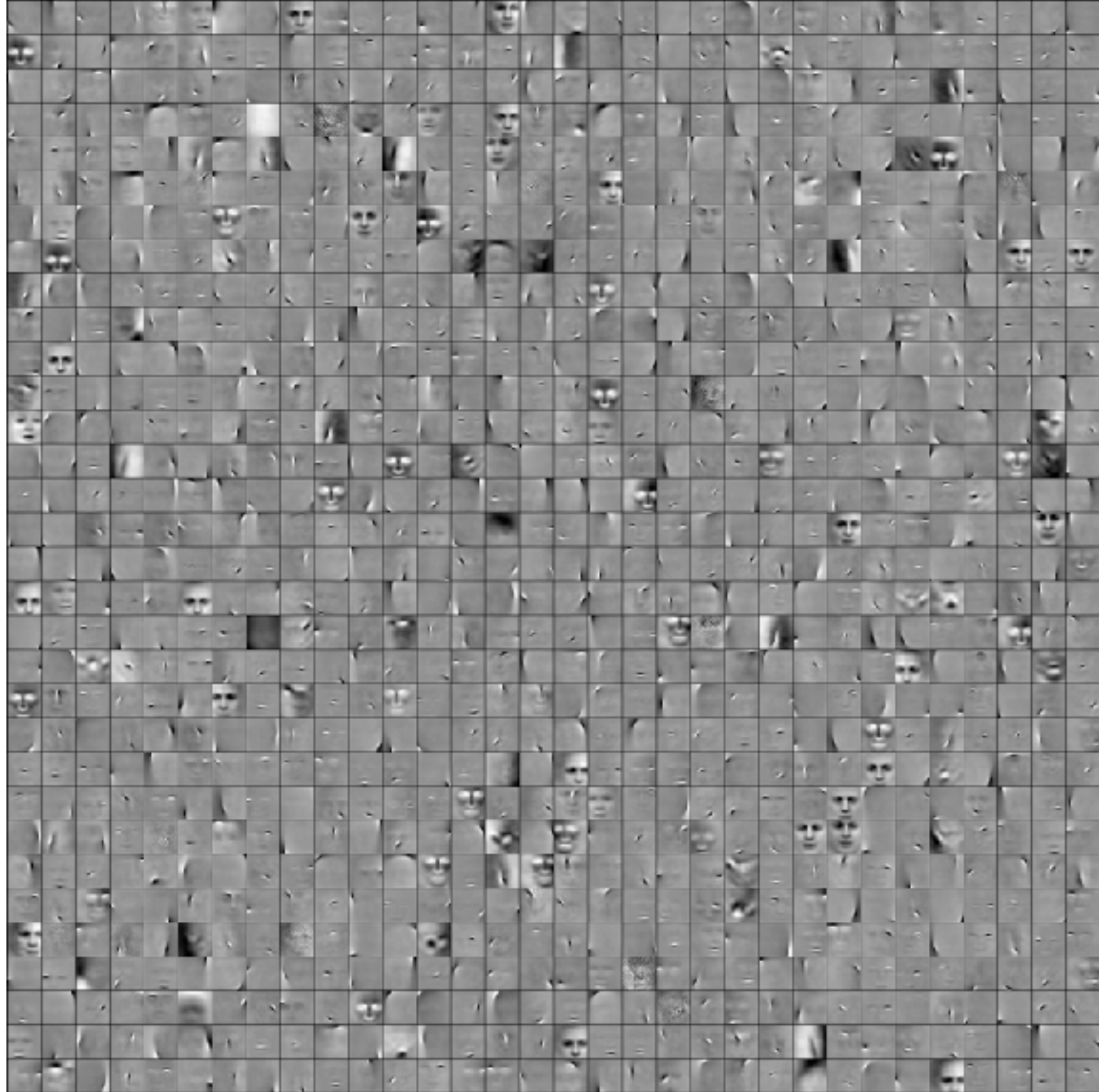


100x overcomplete learned dictionary

(obtained by Charles
Cadieu after running
for 8 hours on 16
GPU's)

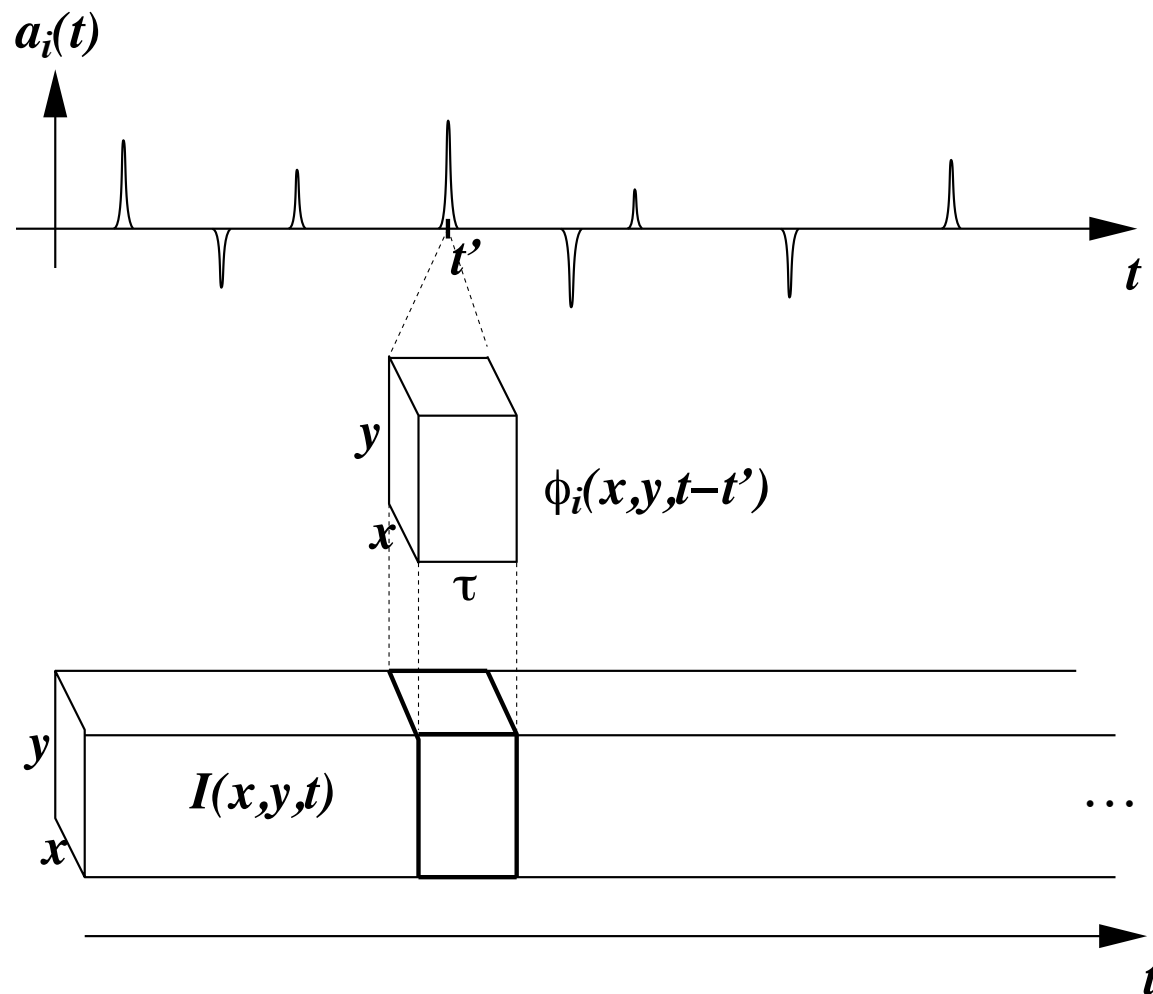


Faces
(charles
cadieu)

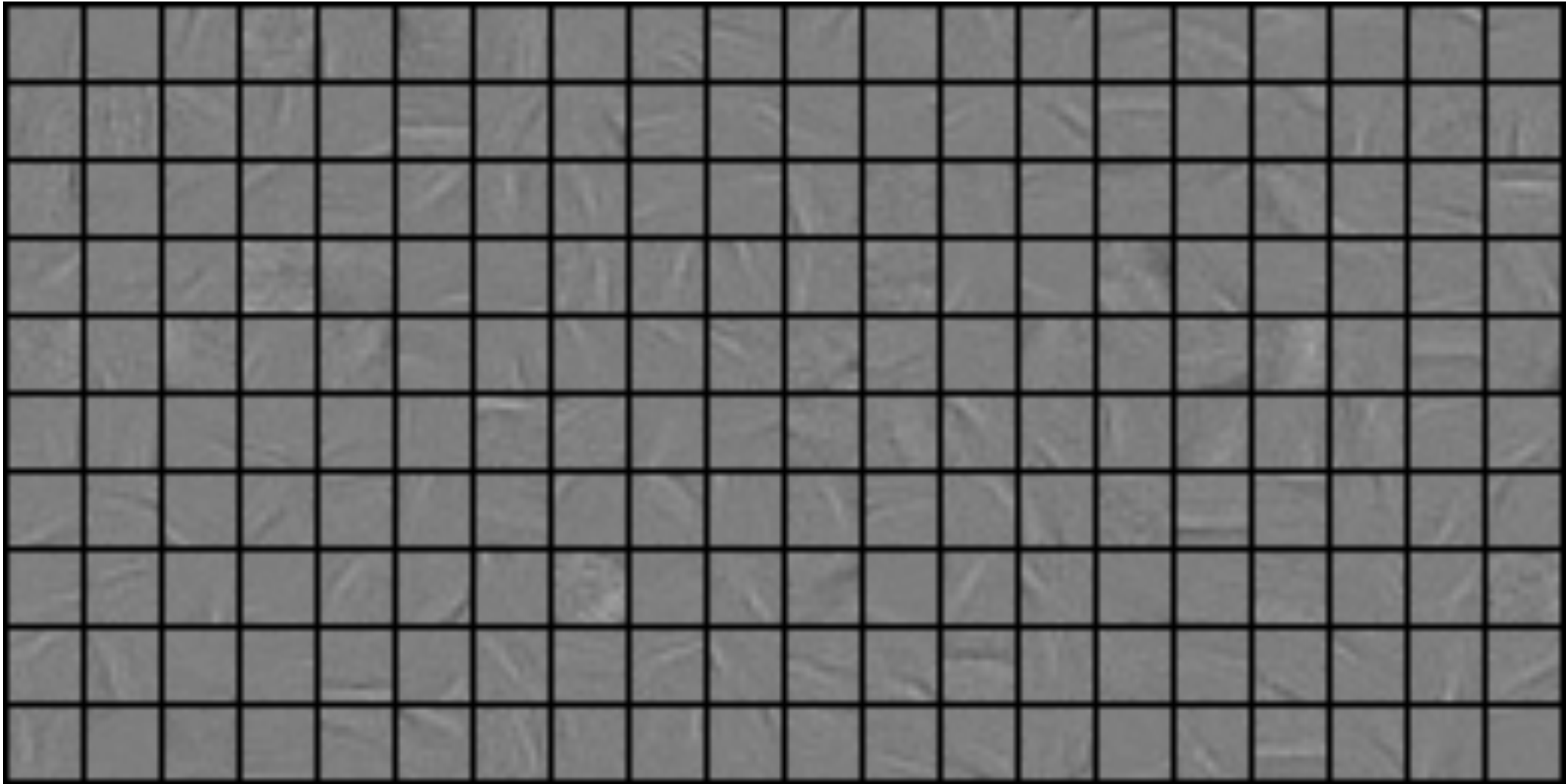


Sparse coding of time-varying images

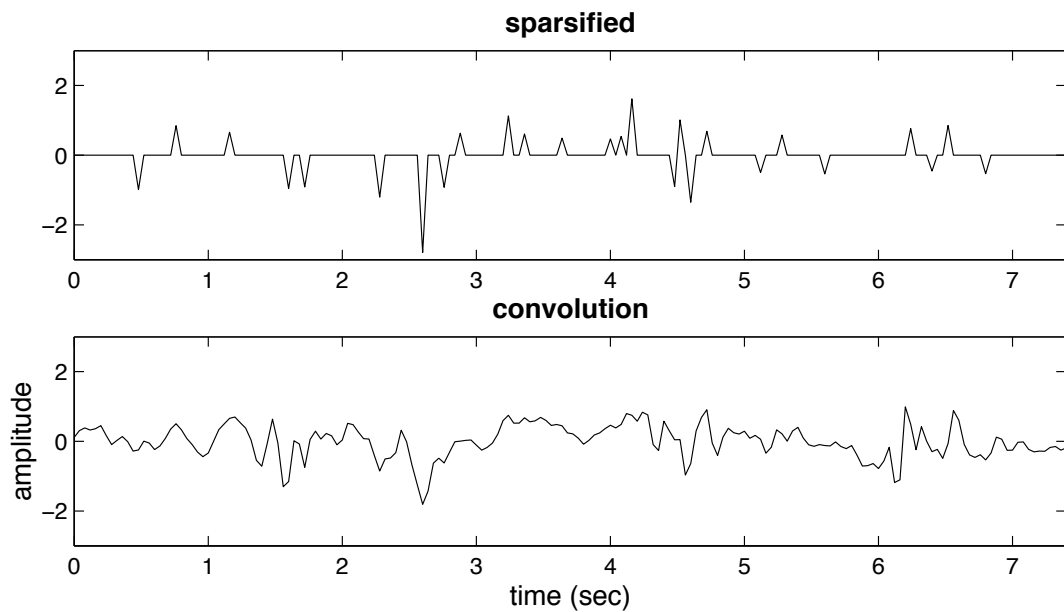
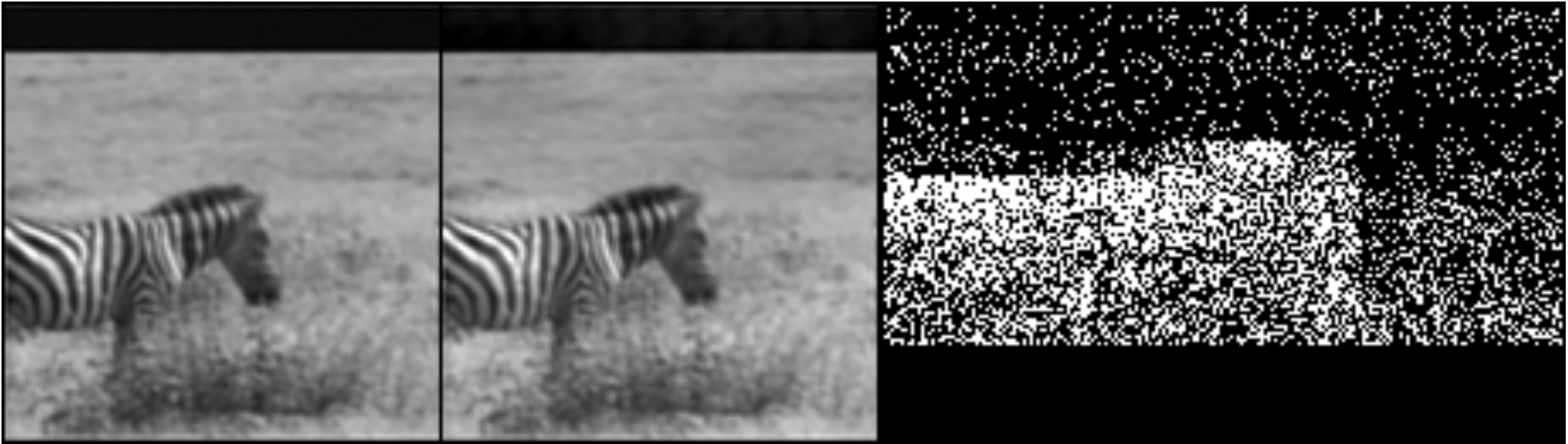
$$I(x, y, t) = \sum_i a_i(t) * \phi_i(x, y, t) + \nu(x, y, t)$$



Learned basis space-time basis functions (200 bfs, 12 x 12 x 7)



Sparse coding and reconstruction



Do brains really work this way?

Evidence for sparse coding

Mushroom body, locust (Laurent)

HVC, zebra finch (Fee)

Auditory cortex, mouse (DeWeese & Zador)

Hippocampus, rat/primate (Thompson & Best; Skaggs)

Motor cortex, rabbit (Swadlow)

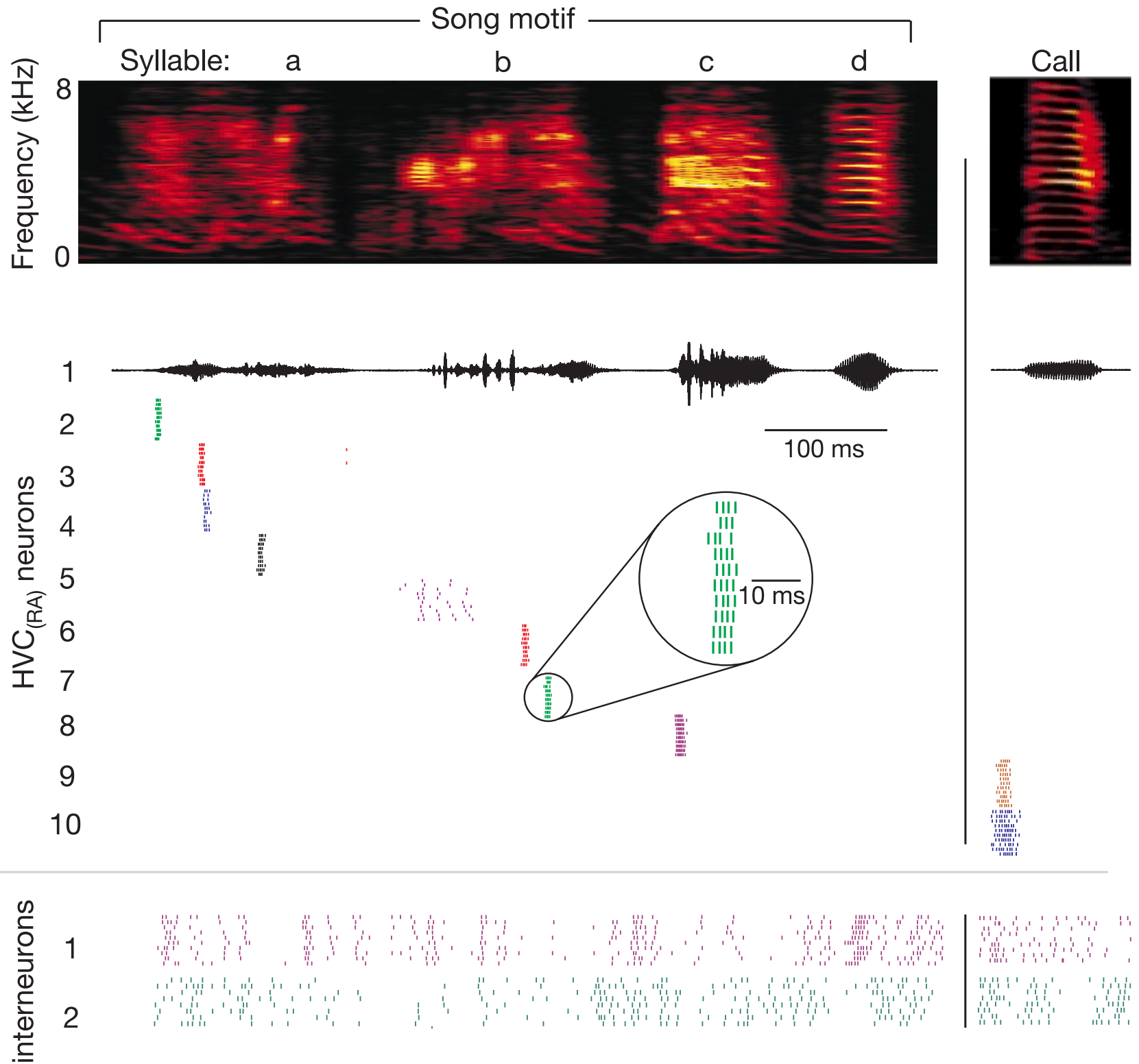
Barrel cortex, rat (Brecht)

Visual cortex, monkey/cat (Vinje & Gallant)

Visual cortex, cat (Gray; McCormick)

Inferotemporal cortex, human (Fried & Koch)

Olshausen BA, Field DJ (2004) Sparse coding of sensory inputs. *Current Opinion in Neurobiology*, 14, 481-487.

b

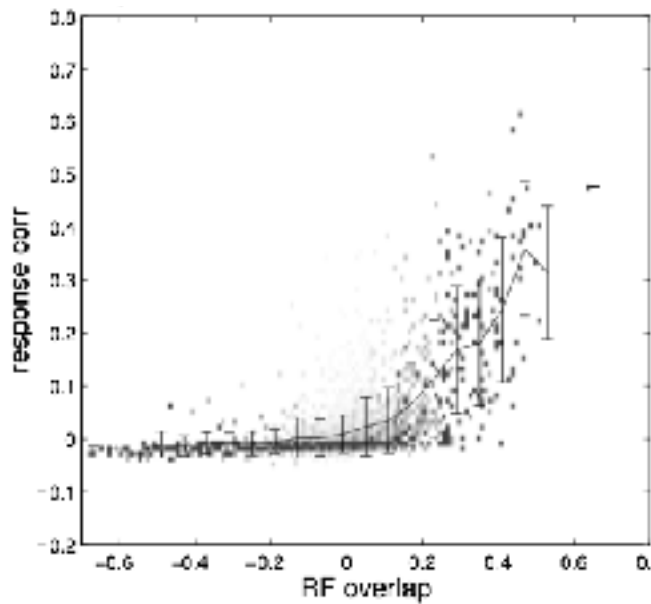
Sparse coding in
songbird HVC
Hahnloser,
Kozhevnikov
& Fee (2002)

Open questions

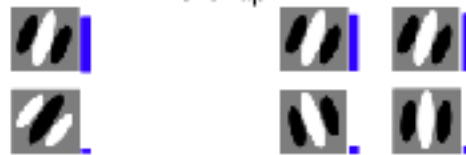
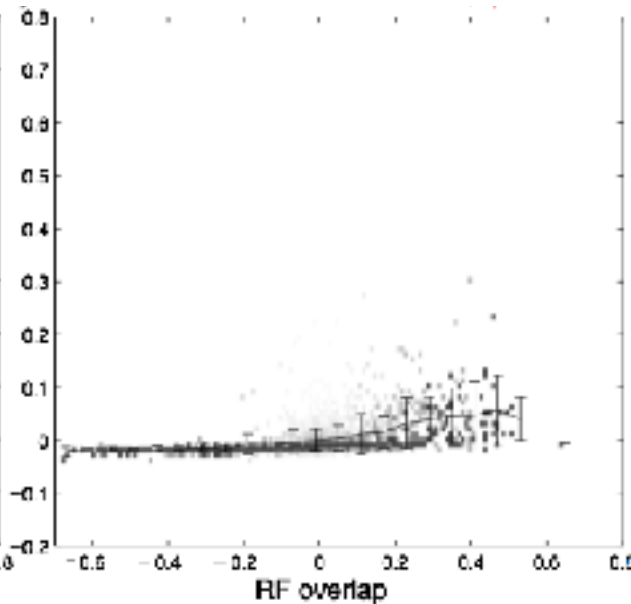
- How to implement with spiking neurons?
(See Zylberberg, Murphy & DeWeese, 2011)
- How to implement with inhibitory interneurons?
(Dale's law - see Zhu & Rozell, 2014)
- Are neural interactions consistent with sparse coding?
- How overcomplete?
- Time

Active decorrelation

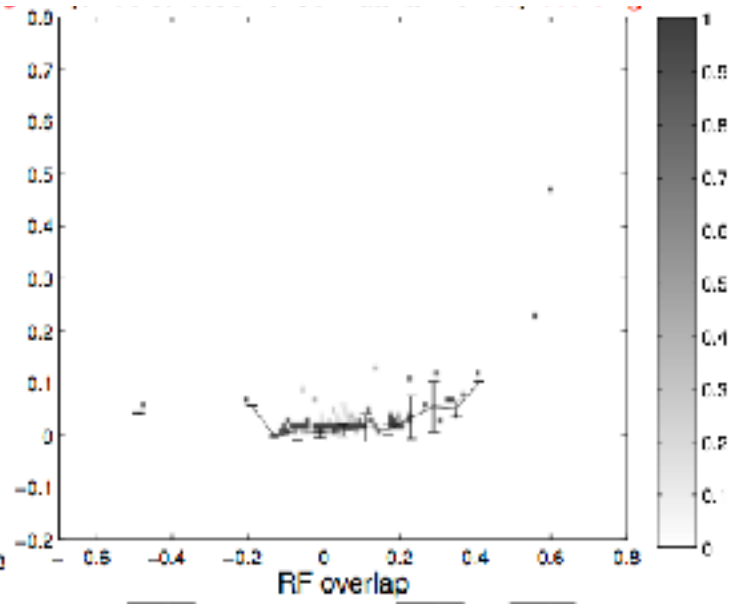
Linear non-linear model



Sparse coding model



Physiology

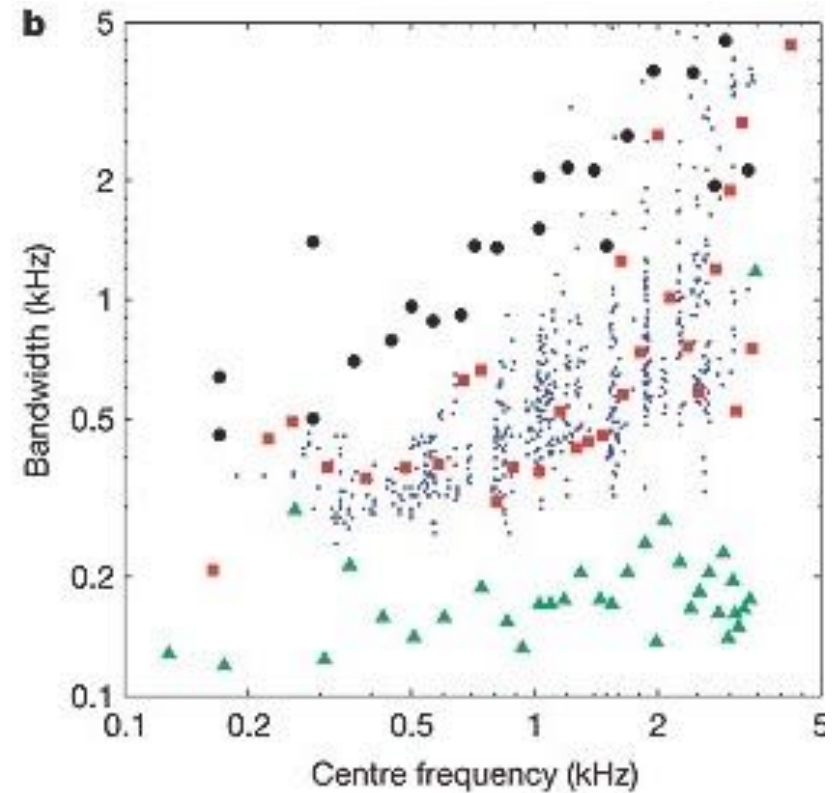
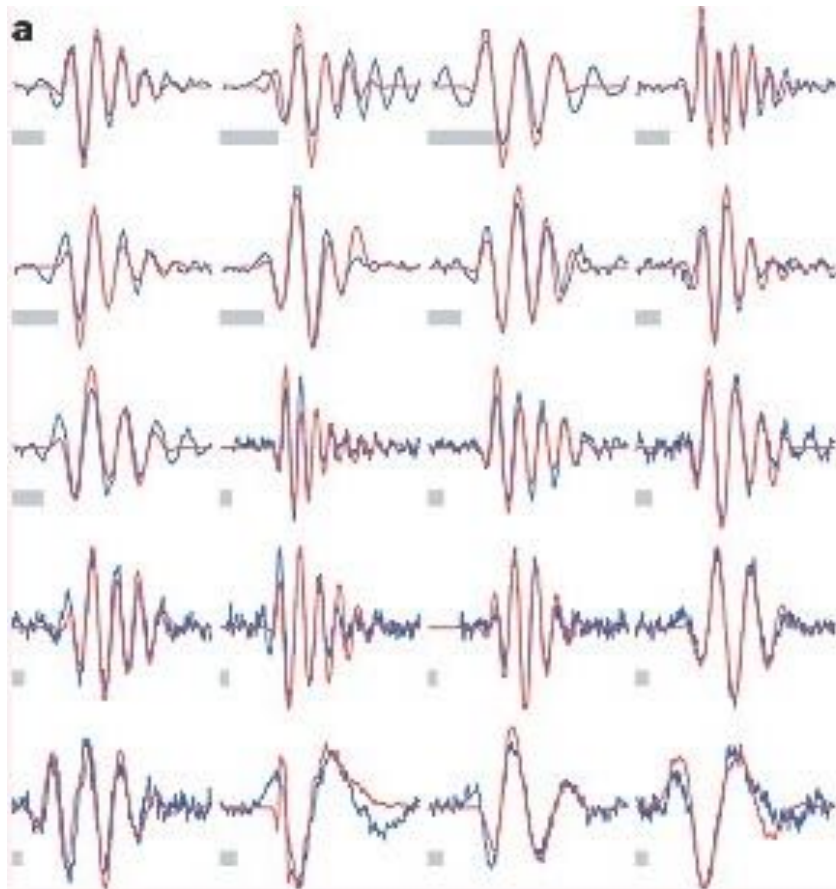


Sparse coding of natural sounds

(Smith & Lewicki 2006)

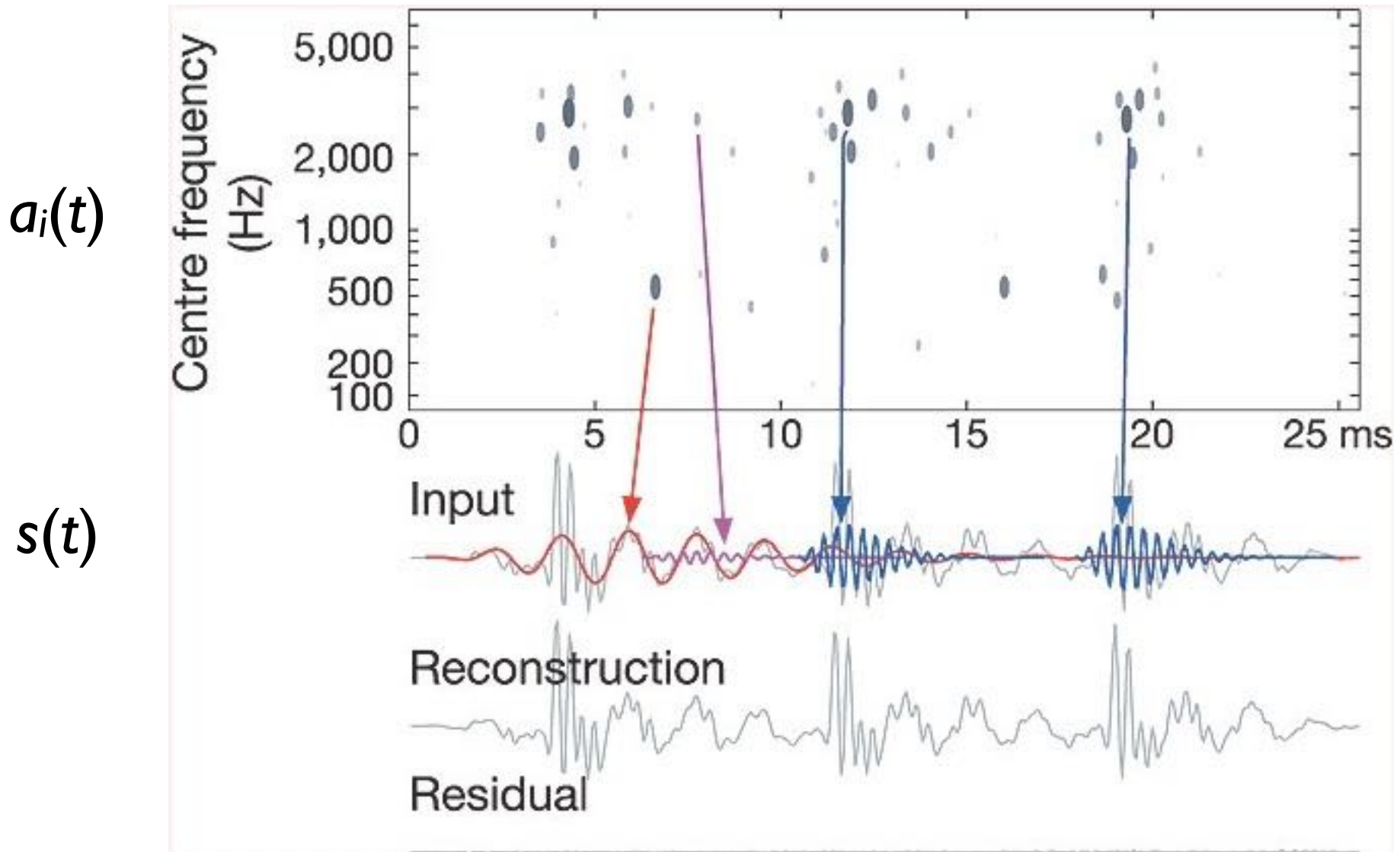
$$s(t) = \sum_i a_i(t) * \phi_i(t) + \nu(t)$$

$\phi_i(t)$



Sparse coding of natural sounds

(Smith & Lewicki 2006)

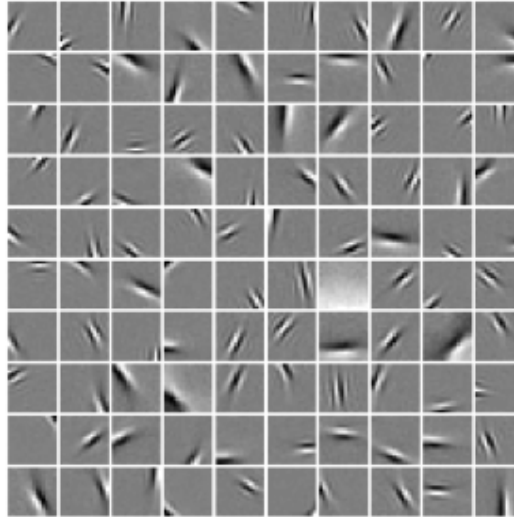


Applications of sparse coding

Theory of sparse coding
(Barlow 1972)



Natural scene statistics
(Field 1990's)



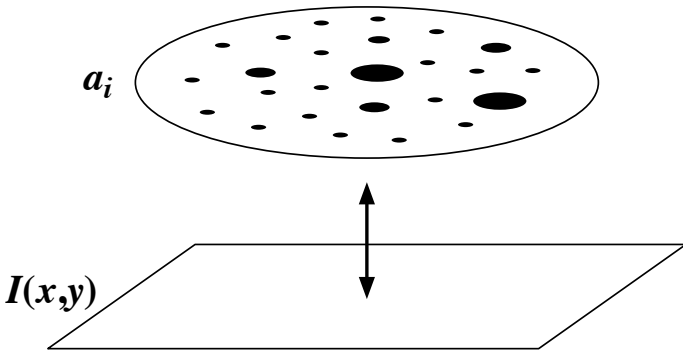
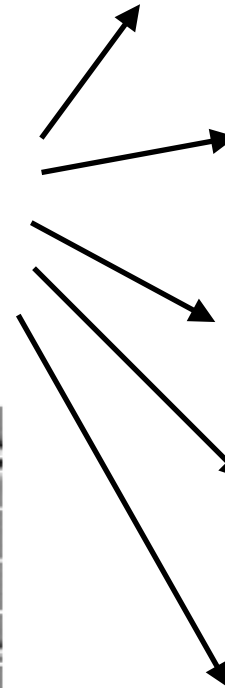
Compressed sensing

Signal/image restoration

Computer vision

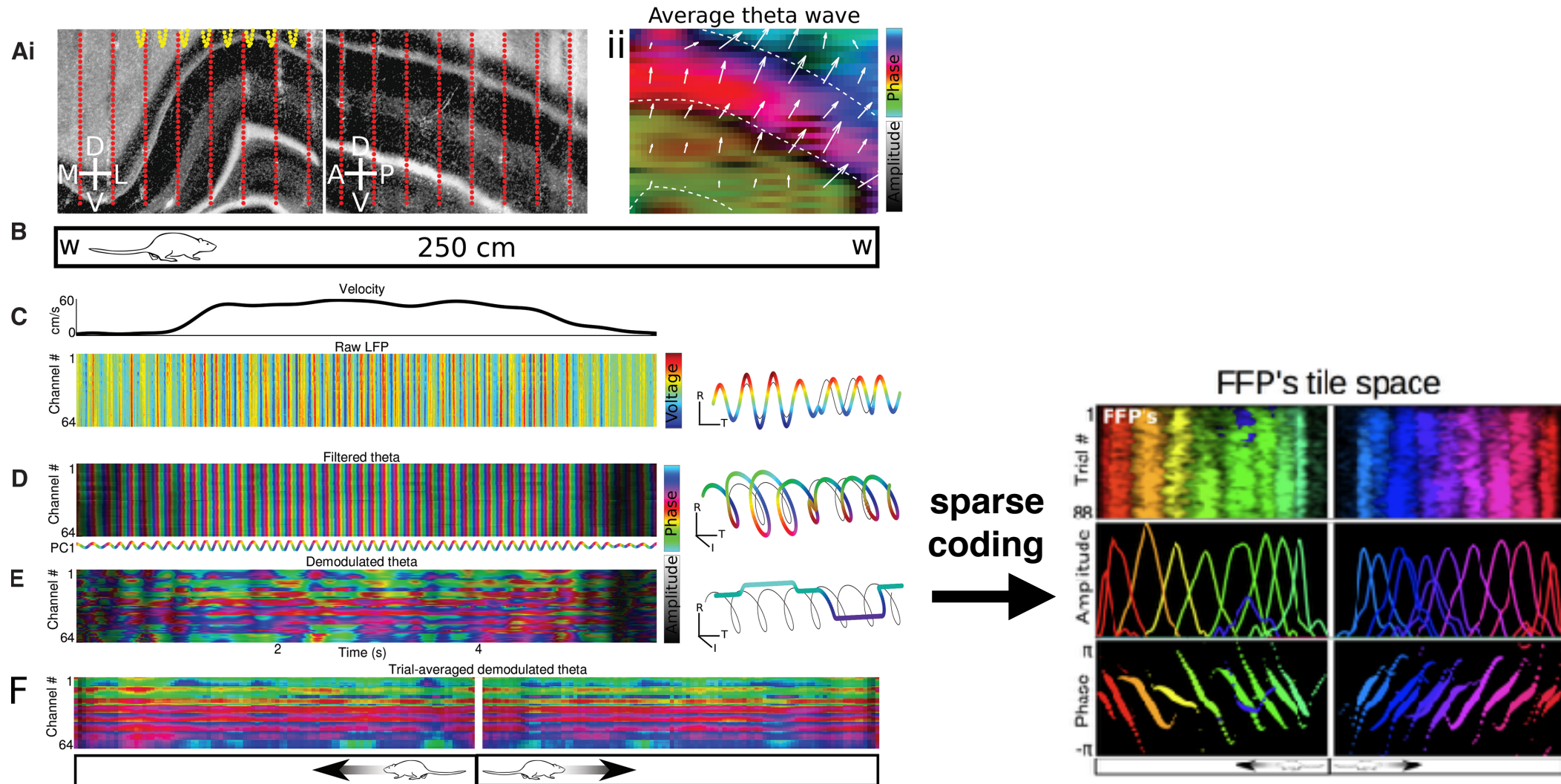
Neural data analysis

Deep learning



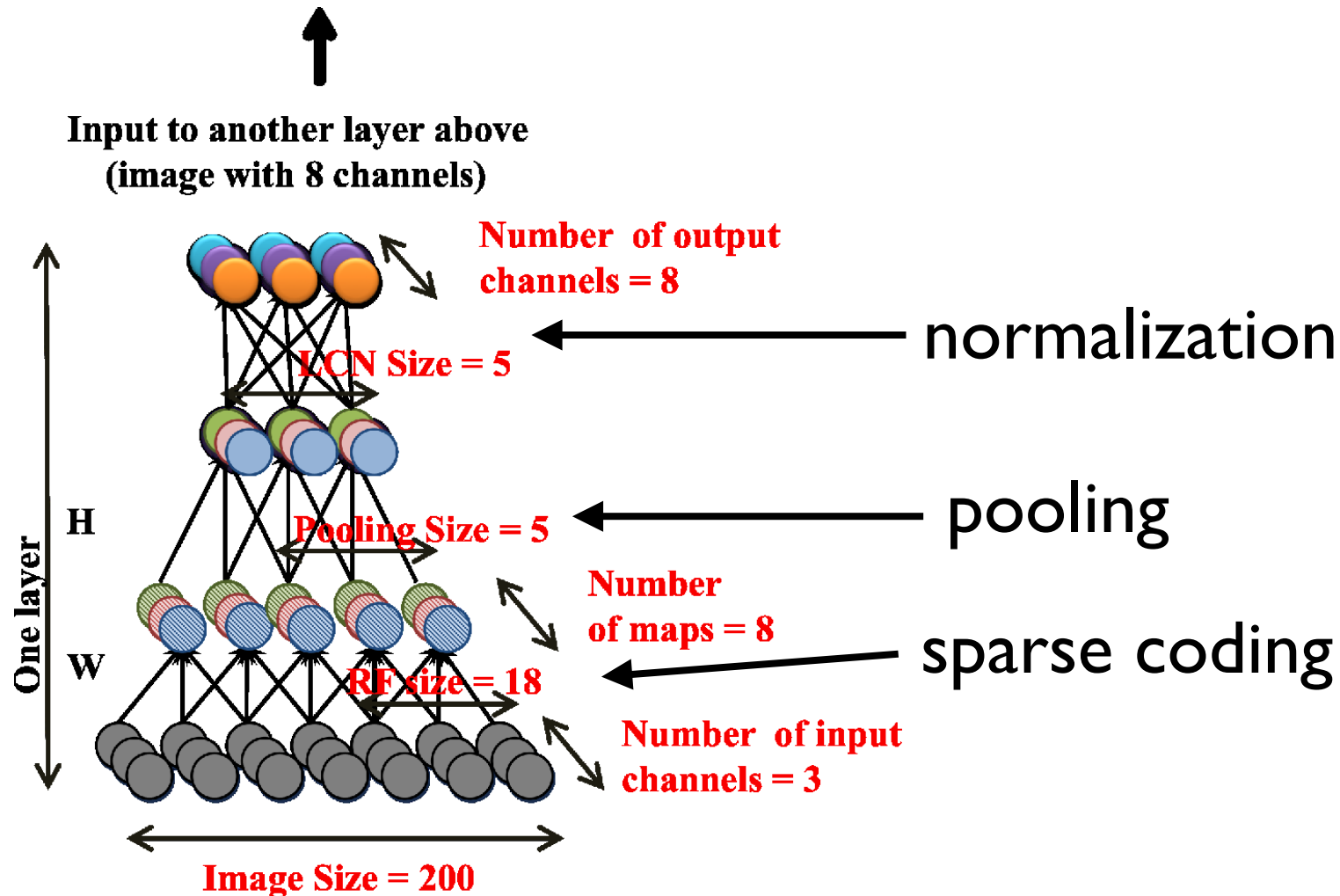
Sparse coding of demodulated LFP reveals 'place cell' components

(Agarwal, Stevenson, Berényi, Mizuseki, Buzsáki & Sommer, 2014)

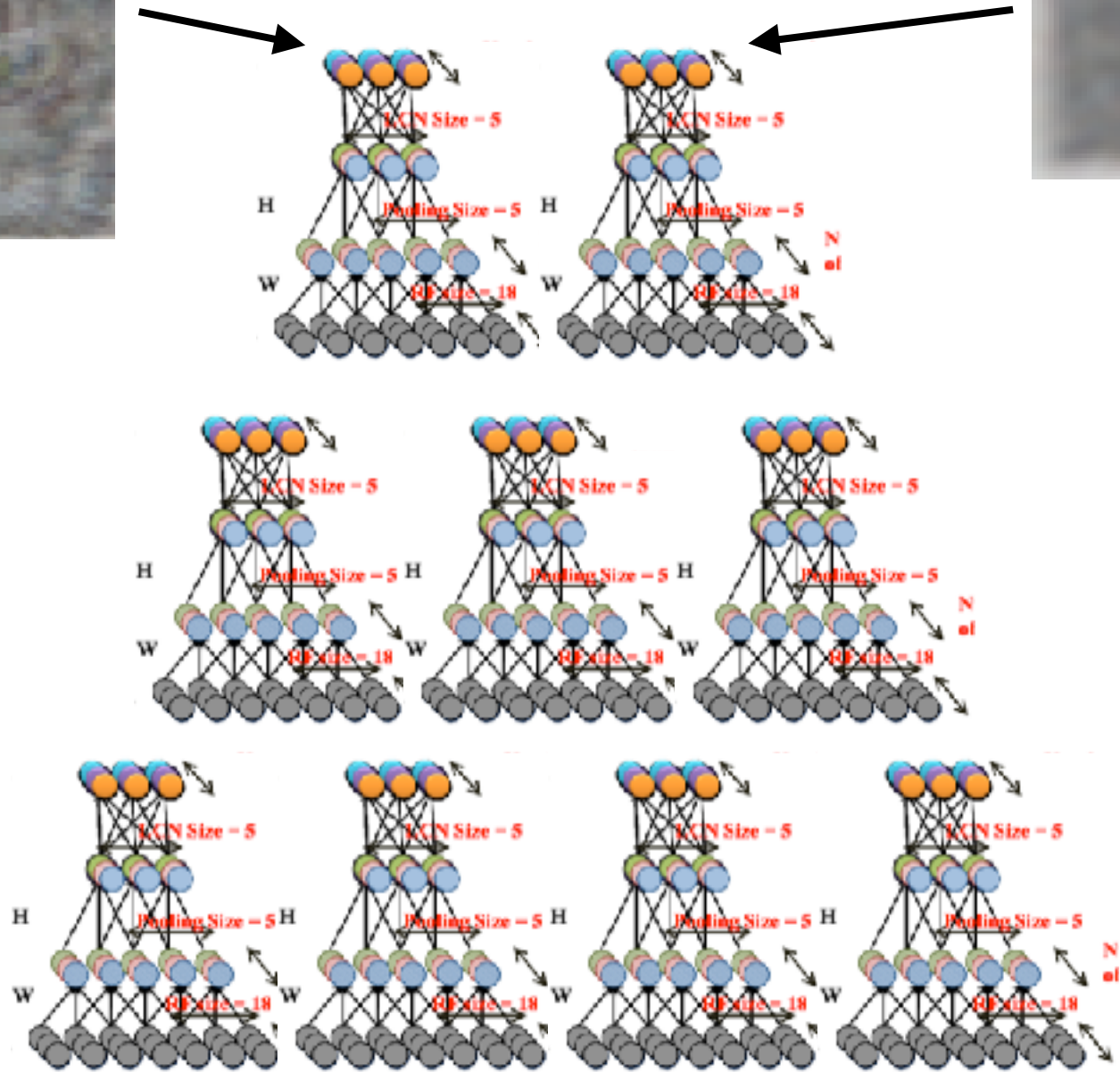
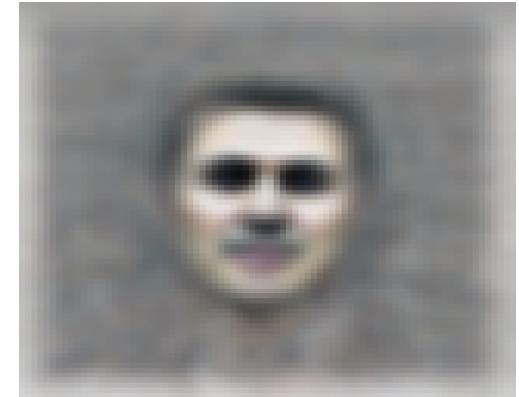
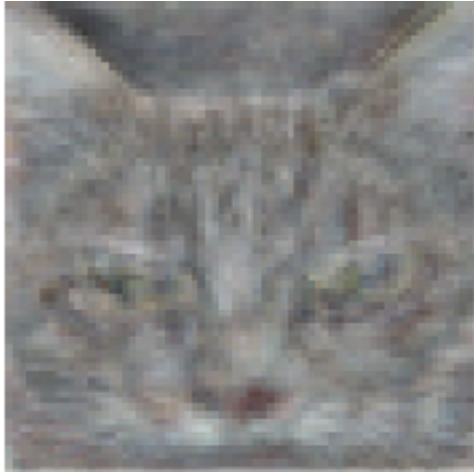


'Google Brain'

(Quoc Le et al. 2012)

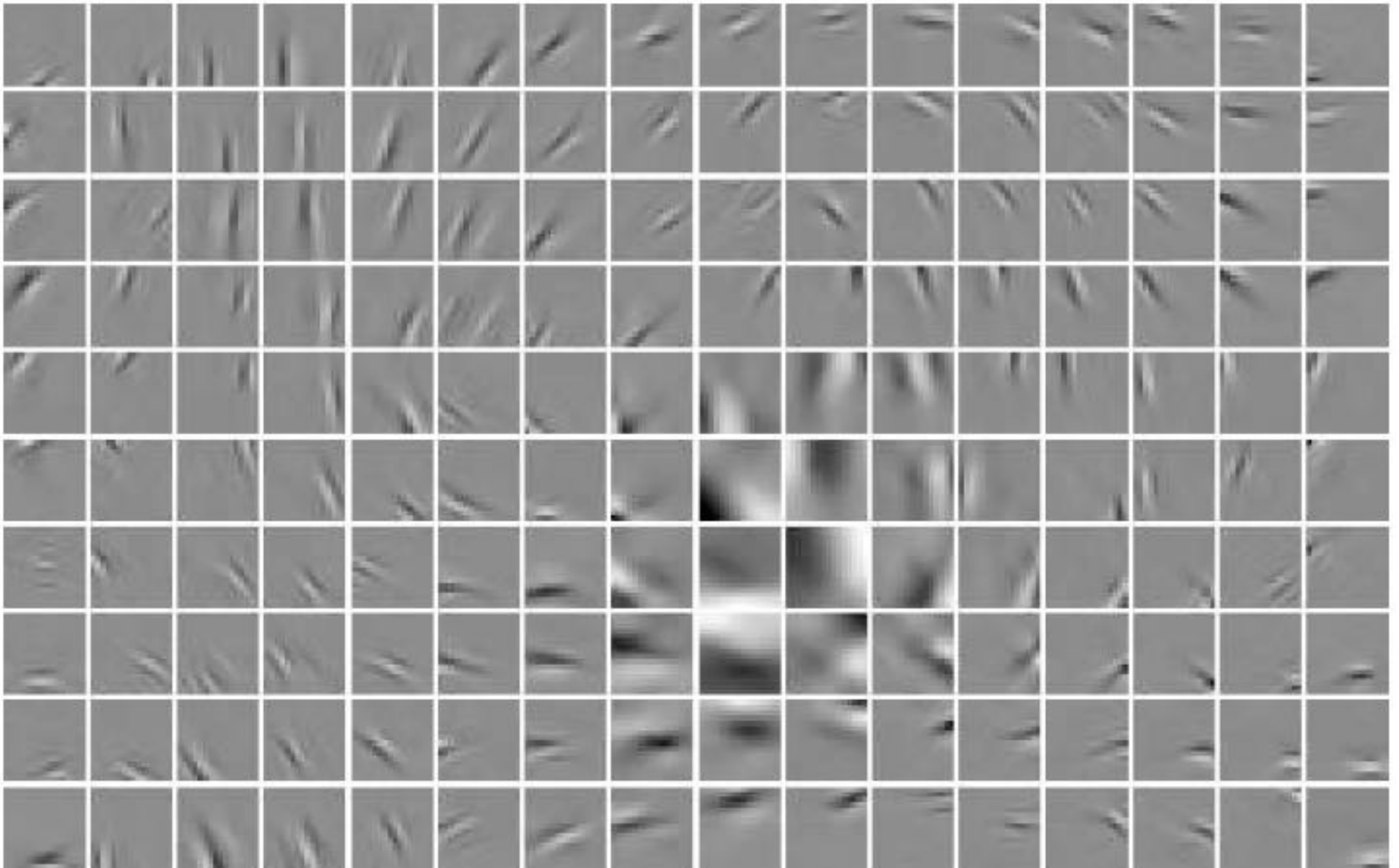


'Google Brain' (Quoc Le et al. 2012)



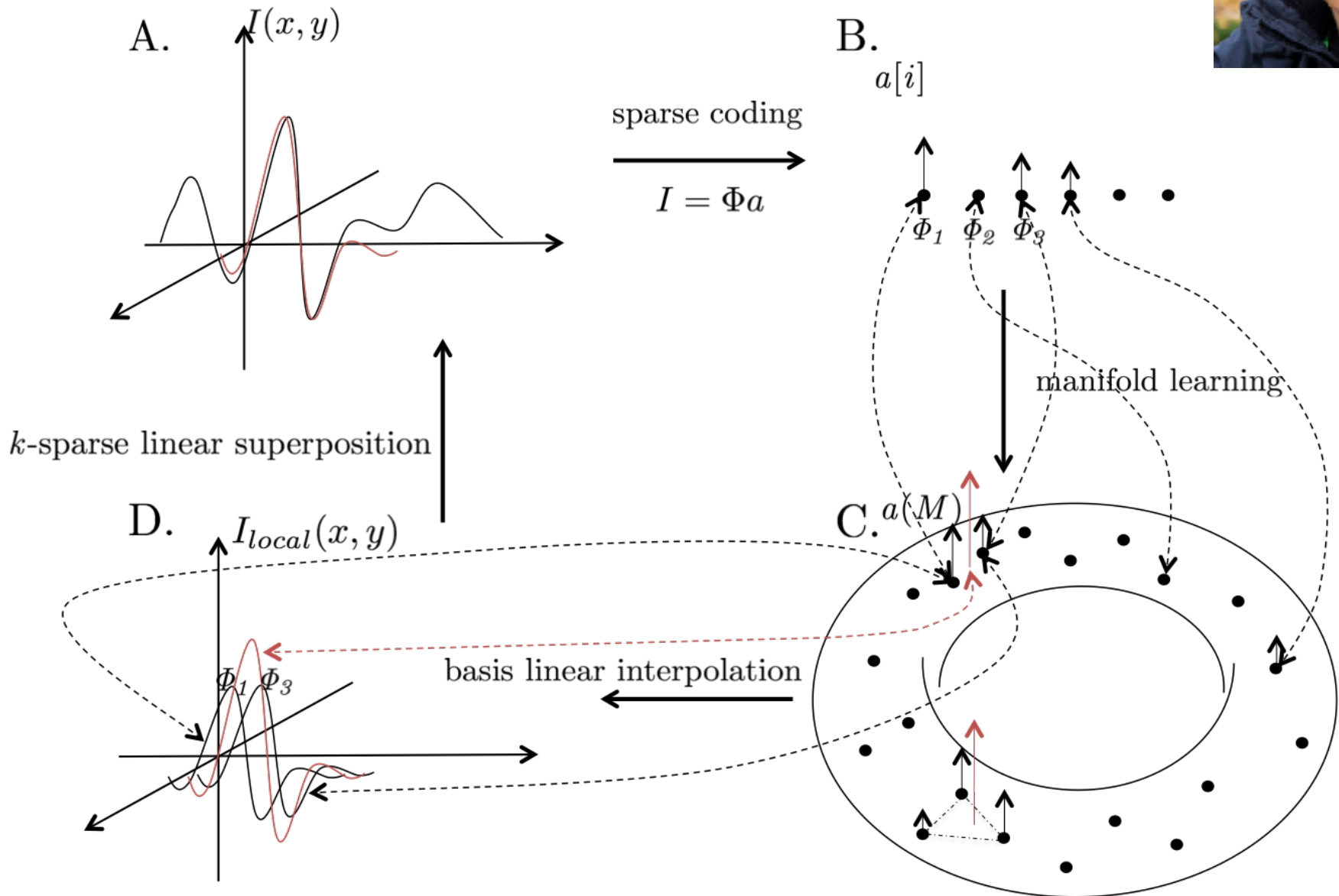
'Topographic ICA'

(Hyvärinen & Hoyer 2001)



Sparse Manifold Transform

(Yubei Chen, Ph.D. thesis)



Learning to represent the function on the manifold

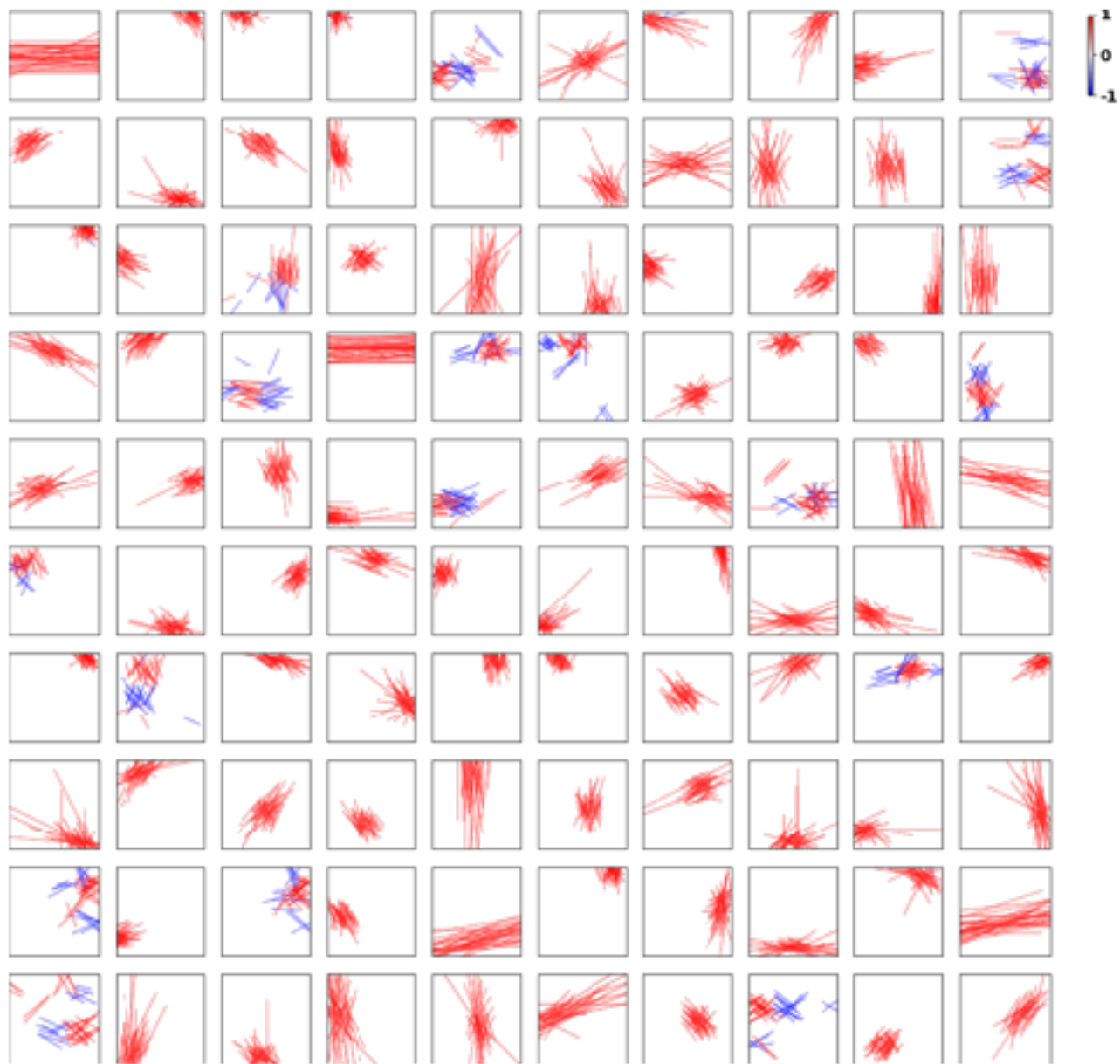
We desire: $Pa_t \approx \frac{1}{2}Pa_{t-1} + \frac{1}{2}Pa_{t+1}$

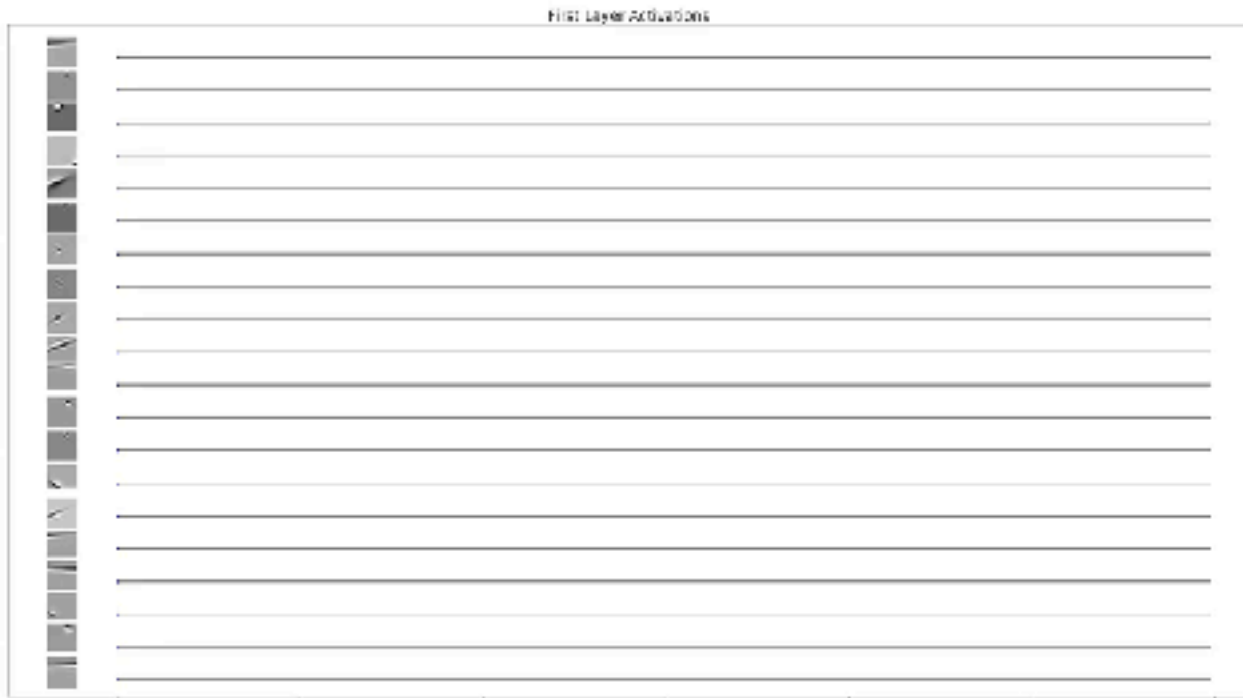
Objective function:

$$\min_P \|PAD\|_F^2 - \gamma_1 \|PA\|_F^2 + \gamma_2 \|PV\|_1$$

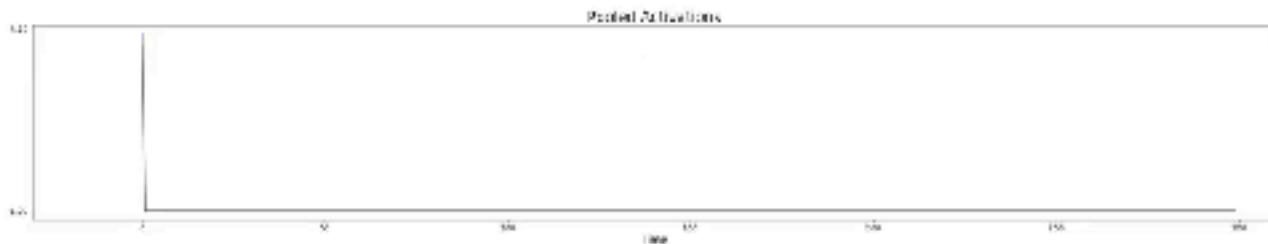
$$\text{s.t. } PVP^T = \frac{1}{K}I$$

$$V = \text{diag}(\bar{a}) \quad D = \begin{bmatrix} 1 & -\frac{1}{2} & 0 & 0 & \dots & 0 \\ -\frac{1}{2} & 1 & -\frac{1}{2} & 0 & \dots & 0 \\ 0 & -\frac{1}{2} & 1 & -\frac{1}{2} & \dots & 0 \\ 0 & 0 & -\frac{1}{2} & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & 0 & 0 & -\frac{1}{2} & 1 \end{bmatrix}$$

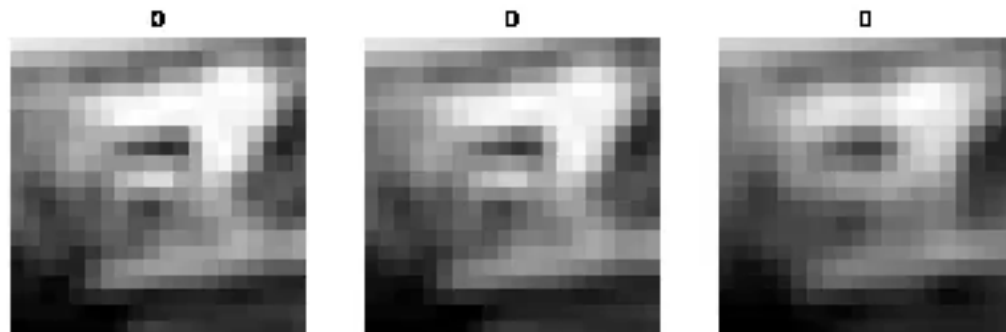




sparse
coefficients
 $a_i(t)$



pooling
function
output



original

reconstr. $a_i(t)$

reconstr. pooling